

Monthly Return of Equity Issuer on Movements in Securities

For the month ended (dd/mm/yy	(yy): <u>30/04/2013</u>		
To: Hong Kong Exchanges and C	learing Limited		
	andard Chartered PLC May 2013		
I. Movements in Authorised Share	Capital		
1. Ordinary Shares			
(1) Stock code : <u>N/A</u>	Description :		
	No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding mon	th		
Increase/(decrease)		-	
Balance at close of the month			
(2) Stock code : <u>N/A</u>	Description :		
	No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding mon	th		
Increase/(decrease)		-	
Balance at close of the month			

Stock code : N/A Desc	ription :		
	No. of preference shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month			
Increase/(decrease)			
Balance at close of the month			
3. Other Classes of Shares			
	ription :		
	ription : No. of other classes of shares	Par value (State currency)	Authorised share capital (State currency)
Stock code : N/A Desc	No. of other	(State	capital
	No. of other	(State	capital

II. Movements in Issued Share Capital

	No. of ordinar	ry shares			No. of other classes
	(1)	(2)	No of pre	eference shares	of shares
Balance at close of preceding month	2,417,931,441	N/A	£1.00 195,285,000	US\$5.00 477,500	N/A
Increase/ (decrease) during the month	1,065,043		N/A	N/A	
Balance at close of the month	2,418,996,484		195,285,000	477,500	_

III. Details of Movements in Issued Share Capital

Share Options (under Share Option Schemes of the Issuer)

Particulars of share option scheme					No. of new shares of issuer issued during the month	No. of new shares of issuer which may be issued
including EGM approval date		Movement duri	pursuant thereto	pursuant thereto as at close of the		
(dd/mm/yyyy) and class of shares issuable	C	F1	C 11 . 1	T 1		month
1. 1997/2006 Restricted	Granted	Exercised	Cancelled	Lapsed		
Share Schemes						
(/ /)						
Ordinary shares	Nil	709,736	Nil	54,514	709,736	9,777,197
(Note 1)						
2. 2000 Executive						
Share Option Scheme						
(/ /)						
Ordinary shares	Nil	25,848	Nil	Nil	25,848	179,445
(Note 1)						
3. 2001 Performance						
Share Plan						
Ordinary shares	Nil	65,855	Nil	72,916	65,855	1,618,059
(Note 1)				72,710	05,055	1,010,037
4. 2011 Discretionary						
Share Plan – Performance						
Share Award						
(/ /)						
Ordinary shares	Nil	Nil	Nil	6,182	Nil	13,477,731
(Note 1)						
5. 2011 Discretionary						
Share Plan – Restricted						
Share Award						
(/ /)	NI:1	174 401	NT:1	27.060	174 401	15 915 272
$\frac{\text{Ordinary shares}}{(Note \ 1)}$	Nil	174,481	Nil	27,069	174,481	15,815,272
· · · · · · · · · · · · · · · · · · ·						
6. 1996/2004						
International Sharesave Schemes						
(/ /)						
Ordinary shares	Nil	84,561	Nil	94,393	84,561	12,301,469
(Note 1)					, -	, , ,
7. 1994/2004						
UK Sharesave / Irish						
Sharesave Schemes						
(/ /)						
Ordinary shares	Nil	4,562	Nil	8,120	4,562	841,598
(Note 1)		Ta	otal A. (Ordin	ary charec)	1.065.043	
		10	•	nce shares)		
			·	Other class)		
Total funds raised during the r	nonth from ex	xercise of option	•	,		
(State currency)	£1,183,651.37					

Warrants to Issue Shares of the Is Description of warrants (Date of expiry - dd/mm/yyyy)	Currency of Nominal value at nominal close of value preceding month	Exercised during the month	Nominal value at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. N/A	_				
	-				
(/ /)	-				· -
Stock code (if listed) Class of shares issuable (Note 1)					
Subscription price		•			
EGM approval date (if applicable)					
(dd/mm/yyyy)	(/ /)				
2. N/A	-				
	-				
(/ /)	<u> </u>		-		-
Stock code (if listed)					
Class of shares issuable (<i>Note 1</i>)					
Subscription price		•			
EGM approval date		•			
(if applicable) (dd/mm/yyyy)	(/ /)				
3. N/A	_	•			
	-				
(/ /)					· -
Stock code (if listed)					
Class of shares		•			
issuable (<i>Note 1</i>) Subscription price					
EGM approval date					
(if applicable)					
(dd/mm/yyyy) 4. N/A	(/ /)				
	-				
	- <u> </u>				
(/ /) Stock code (if listed)					
Class of shares					
issuable (Note 1)		•			
Subscription price EGM approval date					
(if applicable)					
(dd/mm/yyyy)	(/ /)				
	Tota	l B. (Ordi	nary shares) <u>N/A</u>	<u>. </u>	_
		(Prefere	ence shares) N/A	_	-
		(Other class) N/A	<u>.</u>	-

Convertibles (i.e. Convertible in Class and description		Amount at close of preceding month	Converted during the month	Amount at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. N/A						
Stock code (if listed) Class of shares issuable (Note 1) Subscription price						
EGM approval date						
(if applicable) (dd/mm/yyyy)) 2. N/A	<u> (/ /) </u>					
Stock code (if listed) Class of shares issuable (Note 1) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)	(/ /)					
3. N/A	— — —					
Stock code (if listed) Class of shares issuable (Note 1)						
Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)	(/ /)					
4. N/A	_ _ _					
Stock code (if listed)						
Class of shares issuable (Note 1) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)	(/ /)					
				hary shares) $\frac{N/A}{N}$ ence shares) $\frac{N}{A}$		-
				Other class) N/A		

For Main Board and GEM listed issuers
Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

Full particulars including EGM approval date (dd/mm/yyyy), if applicable, and class of shares issuable:	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. <u>N/A</u>		
shares (Note 1)		· -
2. N/A		
shares (Note 1)		
3. <u>N/A</u>		
shares (Note 1)		
m 1D (0 ll 1)	27/4	
Total D. (Ordinary shares) (Preference shares)	N/A N/A	•
(Other class)	N/A	
(Outer class)		•

Other Movements in Issued Share Capital No. of new shares of No. of new issuer issued during shares of the month pursuant issuer thereto which may be issued pursuant thereto as at close of the month Type of Issue Class of shares issuable (*Note 1*) 1. Rights issue At price : State currency Issue and allotment (/ /) date: (dd/mm/yyyy) EGM approval date: (/ /) (dd/mm/yyyy) Class of shares issuable (*Note 1*) (/ /) 2. Open offer At price : State currency Issue and allotment date: (dd/mm/yyyy) (/ /) EGM approval date: (dd/mm/yyyy) Class of shares issuable (*Note 1*) (/ /) At price : State currency Issue and allotment Placing date: (dd/mm/yyyy) (/ /) EGM approval date: (dd/mm/yyyy) Class of shares issuable (*Note 1*) (/ /) Issue and allotment N/ABonus issue date: (dd/mm/yyyy) (/ /) EGM approval date: (dd/mm/yyyy)

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_				For Mai	n Bo	oard and GEM listed is	
	Type of Issue					No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	Type of issue						
			Class of shares issuable (Note 1)				
5.	Scrip dividend At price : State currency	N/A	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			AGM approval date: (dd/mm/yyyy)	(/ /)		
			Class of shares repurchased (Note 1)				
6.	Repurchase of shares	N/A	Cancellation date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
			Class of shares redeemed (Note 1)		_		
7.	Redemption of shares	N/A	Redemption date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
			Class of shares issuable (Note 1)				
8.	Consideration At price : State currency	N/A	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
1							

For Main Board and GEM listed issuers

	Type of Issue				T OT IVIAL	ПЪ	No. of new shares of issuer issued during the month pursuant thereto	
				Class of shares issuable (Note 1)				
9.	Capital reorganisation		N/A	_ Issue and allotment date : (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)		
10	Other			Class of shares issuable (Note 1)				
10.	(Please specify)	At price : State currency	N/A	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)		
					Ordinary s reference s			
				(11			s) N/A	

Total increase / (decrease) in ordinary shares during the month (i.e. Total of A to E):	(1)	1,065,043
	(2)	N/A
Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):		N/A
Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):		N/A
(These figures should be the same as the relevant figures under II above ("Movements in Iss	ued Sh	are Capital").)

Remarks (if any):
Submitted by: Wang Wei Min
Title: Assistant Company Secretary (Director, Secretary or other duly authorised officer)
(Director, Secretary of other duty audiorised officer)

Notes:

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. If there is insufficient space, please append the prescribed continuation sheet.