



## **PROPOSED CHANGE OF INDEPENDENT NON-EXECUTIVE DIRECTOR**

On June 3, 2026, the Board received a written resignation report from Mr. Wang Jianwen, an independent non-executive Director of the Company. As the consecutive term of office is about to reach six years, Mr. Wang Jianwen has tendered his resignation as an independent non-executive Director of the seventh session of the Board of the Company and a member of the Compliance and Risk Management Committee under the Board; following his resignation, he will cease to hold any position with the Company. As Mr. Wang Jianwen's resignation would result in the number of independent non-executive Directors of the Company being less than one-third of the total number of the Board members, Mr. Wang Jianwen has undertaken to continue to perform his duties until the date on which a new independent non-executive Director is appointed.

Mr. Wang Jianwen has confirmed that he has no disagreement with the Board, and to the best of his knowledge, there is no matter in relation to his resignation that needs to be brought to the attention of the Shareholders.

The Board would like to express its sincere gratitude to Mr. Wang Jianwen for his contribution to the development of the Company during his tenure of office.

The Board has nominated Mr. Ye Jinqiang as a candidate for independent non-executive Director of the seventh session of the Board of the Company.

Upon election of Mr. Ye Jinqiang as a candidate for independent non-executive Director of the seventh session of the Board of the Company at the general meeting, Mr. Ye Jinqiang will enter the service contract with the Company for succeeding Mr. Wang Jianwen in fulfilling the duties of an independent non-executive Director of the seventh session of the Board of the Company for a term of office until the end of the term of the current session of the Board. Mr. Ye Jinqiang is eligible for re-election after the expiry of his term of office according to the Articles of Association.

The biographical details of Mr. Ye Jinqiang and other information related to his appointment are set out below:

**Mr. Ye Jinqiang**, born in August 1967, holds a PhD in Civil and Commercial Law. From August 1986 to February 1990, he served as a teacher at Lai'an Middle School in Anhui Province; from March 1990 to August 1995, he practiced as a solicitor at Anhui Lai'an Law Firm; from August 1998 to May 2006, he served as a lecturer and associate professor at the School of Law, Nanjing University; since May 2006, he has served as a professor at the School of Law, Nanjing University, having held the positions of deputy dean and dean of the School of Law, Nanjing University from May 2014 to December 2022. Currently, Mr. Ye Jinqiang concurrently serves as a director of Nanjing University Press Co., Ltd.

Mr. Ye Jinqiang has confirmed that: (i) he complies with the independence requirement in relation to each of the factors set out in Rules 3.13(1) to (8) of the Listing Rules; (ii) he has no past or present financial or other interests in the business of the Company or its subsidiaries and is not connected with any core connected person (as defined in the Listing Rules) of the Company; and (iii) there are no other factors that may affect his independence when he is nominated as an independent non-executive Director.

The Nomination Committee of the Board is of the opinion that, Mr. Ye Jinqiang possesses many years of professional experience in the legal field and is able to contribute to the diversity of the Board. When determining the nomination of Mr. Ye Jinqiang, the Company considered the diversity of the Board in several aspects, including but not limited to gender, age, cultural and educational background, professional experience, skills and knowledge. The nomination is based on the value of the candidate and the contribution he can make to the Board, with full consideration given to the benefits of the diversity of the Board under objective criteria, and in accordance with laws, regulations and relevant requirements of securities regulators.

During his term as an independent non-executive Director of the Company, Mr. Ye Jinqiang will receive remuneration from the Company according to the remuneration standards for independent non-executive Directors approved at the general meeting of the Company. The subsidies of independent non-executive Director of the Company is RMB20,000 per person every month (tax inclusive). For details of Mr. Ye Jinqiang's remuneration, please refer to the annual report to be released by the Company in due course.

As of the date of this announcement, Mr. Ye Jinqiang has not been subject to any punishment from the CSRC or other relevant authorities or any penalty from stock exchanges in the past three years. Save as disclosed in this announcement, Mr. Ye Jinqiang has no other relationship with Directors, senior management, substantial Shareholders or controlling Shareholders of the Company; he does not hold any other position in any member of the Group; he does not have any interest in the Shares of the Company within the meaning of Part XV of the SFO; he did not hold any directorship of any other listed companies in the last three years; there is no information required to be disclosed pursuant to Rule 13.51(2)(h) to Rule 13.51(2)(v) of the Listing Rules; and there are no other matters that need to be brought to the attention of the Shareholders.

## DEFINITIONS

In this announcement, the following expressions have the meanings set out below unless the context otherwise requires.

“Articles of Association”	the articles of association of the Company, as amended, supplemented or otherwise modified from time to time
“Board”	the board of directors of the Company
“China” or the “PRC”	the People’s Republic of China, excluding, for the purpose of this announcement, Hong Kong Special Administrative Region, Macau Special Administrative Region and Taiwan
“Company”	a joint stock company incorporated in the PRC with limited liability under the corporate name 华泰证券股份有限公司 (Huatai Securities Co., Ltd.), converted from its predecessor 华泰证券有限责任公司 (Huatai Securities Limited Liability Company) on December 7, 2007, carrying on business in Hong Kong as “HTSC”, and was registered as a registered non-Hong Kong company under Part 16 of the Companies Ordinance under the Chinese approved name of “華泰六八八六股份有限公司” and English name of “Huatai Securities Co., Ltd.”; the H shares of which have been listed on the main board of the Hong Kong Stock Exchange since June 1, 2015 (Stock Code: 6886); the A shares of which have been listed on the Shanghai Stock Exchange since February 26, 2010 (Stock Code: 601688); the global depository receipts of which have been listed on the London Stock Exchange plc since June 2019 (Symbol: HTSC), unless the context otherwise requires, including its predecessor
“CSRC”	the China Securities Regulatory Commission (中國證券監督管理委員會)
“Director(s)”	the director(s) of the Company
“Group”	the Company and its subsidiaries, and their respective predecessors
“Hong Kong Stock Exchange”	The Stock Exchange of Hong Kong Limited
“Listing Rules”	the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited, as amended, supplemented or otherwise modified from time to time

“SFO”	the Securities and Futures Ordinance (Chapter 571 of the Laws of Hong Kong), as amended, supplemented or otherwise modified from time to time
“Share(s)”	the ordinary share(s) of RMB1.00 each in the share capital of the Company, including A Share(s) and H Share(s)
“Shareholder(s)”	the holder(s) of Share(s)
“%”	per cent.

Huatai Securities Co., Ltd

June 3, 2026