



香港交易所

**Monthly Return of Equity Issuer on Movements in Securities****For the month ended (dd/mm/yyyy) :** 30/11/2014

To : Hong Kong Exchanges and Clearing Limited

Name of Issuer Standard Chartered PLCDate Submitted 4 December 2014**I. Movements in Authorised Share Capital****1. Ordinary Shares**(1) Stock code : N/A Description : \_\_\_\_\_

	No. of ordinary shares	Par value ( <i>State currency</i> )	Authorised share capital ( <i>State currency</i> )
Balance at close of preceding month	_____	_____	_____
Increase/(decrease)	_____		_____
Balance at close of the month	_____	_____	_____

(2) Stock code : N/A Description : \_\_\_\_\_

	No. of ordinary shares	Par value ( <i>State currency</i> )	Authorised share capital ( <i>State currency</i> )
Balance at close of preceding month	_____	_____	_____
Increase/(decrease)	_____		_____
Balance at close of the month	_____	_____	_____

## 2. Preference Shares

Stock code :	<u>N/A</u>	Description :	_____
	No. of preference shares	Par value ( <i>State currency</i> )	Authorised share capital ( <i>State currency</i> )
Balance at close of preceding month	_____	_____	_____
Increase/(decrease)	_____		_____
Balance at close of the month	_____	_____	_____

## 3. Other Classes of Shares

Stock code :	<u>N/A</u>	Description :	_____
	No. of other classes of shares	Par value ( <i>State currency</i> )	Authorised share capital ( <i>State currency</i> )
Balance at close of preceding month	_____	_____	_____
Increase/(decrease)	_____		_____
Balance at close of the month	_____	_____	_____

Total authorised share capital at the end of the month (*State  
currency*) :

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## II. Movements in Issued Share Capital

	No. of ordinary shares		No of preference shares		No. of other classes of shares
	(1)	(2)			
Balance at close of preceding month	<u>2,472,388,579</u>	<u>N/A</u>	<u>£1.00</u> <u>195,285,000</u>	<u>US\$5.00</u> <u>15,000</u>	<u>N/A</u>
Increase/ (decrease) during the month	<u>85,055</u>	<u></u>	<u>N/A</u>	<u>N/A</u>	<u></u>
Balance at close of the month	<u>2,472,473,634</u>	<u></u>	<u>195,285,000</u>	<u>15,000</u>	<u></u>

## III. Details of Movements in Issued Share Capital

## Share Options (under Share Option Schemes of the Issuer)

Particulars of share option scheme including EGM approval date (dd/mm/yyyy) and class of shares issuable	Movement during the month				No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	Granted	Exercised	Cancelled	Lapsed		
1. 1997/2006 Restricted Share Schemes ( / / ) Ordinary shares	Nil	41,837	Nil	2,604	41,837	2,367,629
(Note 1)						
2. 2000 Executive Share Option Scheme ( / / ) Ordinary shares	Nil	Nil	Nil	Nil	Nil	Nil
(Note 1)						
3. 2001 Performance Share Plan ( / / ) Ordinary shares	Nil	7,055	Nil	Nil	7,055	249,645
(Note 1)						
4. 2011 Discretionary Share Plan – Performance Share Award ( / / ) Ordinary shares	Nil	9,309	Nil	8,720	9,309	14,303,445
(Note 1)						
5. 2011 Discretionary Share Plan – Restricted Share Award ( / / ) Ordinary shares	Nil	26,854	Nil	51,354	26,854	18,159,752
(Note 1)						
6. 1996/2004 International Sharesave Schemes ( / / ) Ordinary shares	Nil	Nil	Nil	202,135	Nil	7,729,555
(Note 1)						
7. 1994/2004 UK Sharesave / Irish Sharesave Schemes ( / / ) Ordinary shares	Nil	Nil	Nil	16,970	Nil	443,770
(Note 1)						
8. Standard Chartered 2013 Sharesave Plan ( / / ) Ordinary shares	Nil	Nil	Nil	269,583	Nil	7,214,981
(Note 1)						
Total A. (Ordinary shares)					85,055	
(Preference shares)					N/A	
(Other class)					N/A	
Total funds raised during the month from exercise of options (State currency)					0	

## Warrants to Issue Shares of the Issuer which are to be Listed

Warrants to Issue Shares of the Issuer which are to be Listed					No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
Description of warrants (Date of expiry - dd/mm/yyyy)	Currency of nominal value	Nominal value at close of preceding month	Exercised during the month	Nominal value at close of the month		
1. N/A						
( / / )						
Stock code (if listed)						
Class of shares issuable (Note 1)						
Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)		( / / )				
2. N/A						
( / / )						
Stock code (if listed)						
Class of shares issuable (Note 1)						
Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)		( / / )				
3. N/A						
( / / )						
Stock code (if listed)						
Class of shares issuable (Note 1)						
Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)		( / / )				
4. N/A						
( / / )						
Stock code (if listed)						
Class of shares issuable (Note 1)						
Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)		( / / )				
Total B.					(Ordinary shares) N/A	
					(Preference shares) N/A	
					(Other class) N/A	

## Convertibles (i.e. Convertible into Shares of the Issuer which are to be Listed)

Class and description	Currency of amount outstanding	Amount at close of preceding month	Converted during the month	Amount at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. N/A						
Stock code (if listed)						
Class of shares issuable ( <i>Note 1</i> )						
Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)	( / / )					
2. N/A						
Stock code (if listed)						
Class of shares issuable ( <i>Note 1</i> )						
Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)	( / / )					
3. N/A						
Stock code (if listed)						
Class of shares issuable ( <i>Note 1</i> )						
Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)	( / / )					
4. N/A						
Stock code (if listed)						
Class of shares issuable ( <i>Note 1</i> )						
Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)	( / / )					
			Total C. (Ordinary shares) N/A			
			(Preference shares) N/A			
			(Other class) N/A			

Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

Full particulars including EGM approval date (dd/mm/yyyy), if applicable, and class of shares issuable:	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. N/A		
2. N/A		
3. N/A		
Total D. (Ordinary shares)	N/A	
(Preference shares)	N/A	
(Other class)	N/A	

## Other Movements in Issued Share Capital

Type of Issue				No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1.	Rights issue	At price : State currency	<div>Class of shares issuable _____ (Note 1)</div> <div>N/A</div> <div>Issue and allotment date : (dd/mm/yyyy) ( / / )</div> <div>EGM approval date: (dd/mm/yyyy) ( / / )</div>	_____	_____
2.	Open offer	At price : State currency	<div>Class of shares issuable _____ (Note 1)</div> <div>N/A</div> <div>Issue and allotment date : (dd/mm/yyyy) ( / / )</div> <div>EGM approval date: (dd/mm/yyyy) ( / / )</div>	_____	_____
3.	Placing	At price : State currency	<div>Class of shares issuable _____ (Note 1)</div> <div>N/A</div> <div>Issue and allotment date : (dd/mm/yyyy) ( / / )</div> <div>EGM approval date: (dd/mm/yyyy) ( / / )</div>	_____	_____
4.	Bonus issue		<div>Class of shares issuable _____ (Note 1)</div> <div>N/A</div> <div>Issue and allotment date : (dd/mm/yyyy) ( / / )</div> <div>EGM approval date: (dd/mm/yyyy) ( / / )</div>	_____	_____



				No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month	
Type of Issue						
5.	Scrip dividend	At price : State currency	<u>N/A</u>	Class of shares issuable _____x <i>(Note 1)</i> Issue and allotment date : (dd/mm/yyyy) AGM approval date: (dd/mm/yyyy)	( / / )	
6.	Repurchase of shares		N/A	Class of shares repurchased <i>(Note 1)</i> _____ Cancellation date : (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy)	( / / )	
7.	Redemption of shares		<u>N/A</u>	Class of shares redeemed <i>(Note 1)</i> _____ Redemption date : (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy)	( / / )	
8.	Consideration issue	At price : State currency	<u>N/A</u>	Class of shares issuable _____ <i>(Note 1)</i> Issue and allotment date : (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy)	( / / )	

For Main Board and GEM listed issuers

				No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
Type of Issue					
9. Capital reorganisation		N/A	Class of shares issuable _____ (Note 1)		
			Issue and allotment date : (dd/mm/yyyy)	( / / )	
			EGM approval date: (dd/mm/yyyy)	( / / )	
10. Other (Please specify)	At price : State currency	N/A	Class of shares issuable _____ (Note 1)		
			Issue and allotment date : (dd/mm/yyyy)	( / / )	
			EGM approval date: (dd/mm/yyyy)	( / / )	
Total E. (Ordinary shares) N/A					
(Preference shares) N/A					
(Other class) N/A					

Total increase / (decrease) in ordinary shares during the month (i.e. Total of A to E):	(1)	85,055
	(2)	N/A
Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):		N/A
Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):		N/A
(These figures should be the same as the relevant figures under II above ("Movements in Issued Share Capital").)		

Remarks (if any):

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Submitted by: Wang Wei Min

Title: Assistant Company Secretary  
(Director, Secretary or other duly authorised officer)

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*Notes :*

1. *State the class of shares (e.g. ordinary, preference or other).*
2. *If there is insufficient space, please append the prescribed continuation sheet.*