

## **Monthly Return of Equity Issuer on Movements in Securities**

For the month ended (dd/mm	/yyyy): <u>30/09/2014</u>		
To: Hong Kong Exchanges and	Clearing Limited		
Name of Issuer	Standard Chartered PLC		
Date Submitted	8 October 2014		
I. Movements in Authorised Sh	are Capital		
1. Ordinary Shares			
(1) Stock code: N/A	Description :		
	No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding m	nonth		
Increase/(decrease)	-		
Balance at close of the month			
(2) Stock code : N/A	Description :		
	No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding m	onth		
Increase/(decrease)			
Balance at close of the month			

Stock code: N/A	Description :		
	No. of preference shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding	month		
Increase/(decrease)			_
Balance at close of the month			
3. Other Classes of Shares			
3. Other Classes of Shares  Stock code : N/A	Description :		
	Description :  No. of other classes of shares	Par value (State currency)	
	No. of other classes of shares	Par value (State	Authorised share capital
Stock code : N/A	No. of other classes of shares	Par value (State	Authorised share capital

currency):

## II. Movements in Issued Share Capital

	No. of ordinar	ry shares			No. of other classes
	(1)	(2)	No of pro	eference shares	of shares
Balance at close of preceding month	2,470,516,173	N/A	£1.00 195,285,000	US\$5.00 15,000	N/A
Increase/ (decrease) during the month	411,506		N/A	N/A	
Balance at close of the month	2,470,927,679		195,285,000	15,000	_

## III. Details of Movements in Issued Share Capital

Share Options (under Share Option Schemes of the Issuer)

Particulars of share option scheme including EGM approval date	priori di directione				No. of new shares of issuer issued during the month pursuant thereto	of issuer which may
(dd/mm/yyyy) and class of -		Movement dur			_	the month
shares issuable	Granted	Exercised	Cancelled	Lapsed		
1. 1997/2006 Restricted Share Schemes						
( / / )						
Ordinary shares	Nil	141,708	Nil	957	141,708	2,494,339
(Note 1)						
2. 2000 Executive						
Share Option Scheme						
Ordinary shares	Nil	Nil	Nil	Nil	Nil	Nil
(Note 1)	INII			INII	INII	INII
3. 2001 Performance						
Share Plan						
Ordinary shares	Nil	5,140	Nil	Nil	5,140	280,908
(Note 1)						·
4. 2011 Discretionary						
Share Plan – Performance						
Share Award						
( / / )	22.006	26.607	NT'1	46 150	26.607	14 260 401
$\frac{\text{Ordinary shares}}{(Note \ 1)}$	33,896	36,607	Nil	46,152	36,607	14,368,401
,						
5. 2011 Discretionary						
Share Plan – Restricted Share Award						
( / / )						
Ordinary shares	223,577	224,463	Nil	55,477	224,463	18,337,374
(Note 1)						
6. 1996/2004						
International Sharesave						
Schemes						
Ordinary shares	Nil	3,172	Nil	74,483	3,172	8,060,780
(Note 1)	INII	3,172		74,463	3,172	8,000,780
,						
7. 1994/2004						
UK Sharesave / Irish Sharesave Schemes						
( / / )						
Ordinary shares	Nil	416	Nil	5,832	416	476,842
(Note 1)						
8. Standard Chartered						
2013 Sharesave Plan						
Ordinary shares	Nil	Nil	Nil	75 750	Nil	2 122 405
(Note 1)	1111	1/11	1111	75,258	INII	3,122,405
,			Total A. (Ord			
			(Prefe	rence shares)		
TD 4.1.C. 1	d C		74.4	(Other class)	IN/A	
Total funds raised during the mocurrency)	onth from exer	cise of options (S	State £39,312.4	5		
carrone,			<u>2007,012.4.</u>			

Description of warrants (Date of expiry - dd/mm/yyyy)	Currency of Nominal value at nominal close of value preceding month	Exercised during the month	Nominal value at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. N/A					
Stock code (if listed) Class of shares issuable (Note 1) Subscription price					
EGM approval date (if applicable)					
(dd/mm/yyyy) 2. N/A	( / / )				
Z. IVA					
( / / ) Stock code (if listed) Class of shares issuable (Note 1)					-
Subscription price EGM approval date (if applicable) (dd/mm/yyyy)  3. N/A	( / / )				
Stock code (if listed) Class of shares issuable (Note 1)					
Subscription price					
EGM approval date (if applicable)					
(dd/mm/yyyy) 4. N/A	( / / )				
( / / ) Stock code (if listed) Class of shares issuable (Note 1)					
Subscription price					
EGM approval date (if applicable) (dd/mm/yyyy)	( / / )				
	Total	*	nary shares) <u>N/A</u>		_
			ence shares) $\frac{N/A}{N}$		<u>-</u>
		(	Other class) N/A	Δ	-

For Main Board and GEM listed issuers Convertibles (i.e. Convertible into Shares of the Issuer which are to be Listed) No. of new No. of new shares of shares of issuer issued issuer which may be issued during the month pursuant thereto as at pursuant Currency of Amount at close Converted Amount at thereto close of the amount of preceding during the close of the month Class and description outstanding month month month N/A Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy)) N/A Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) ( / / ) (dd/mm/yyyy) N/A Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) N/A Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) ( / / ) (dd/mm/yyyy)

Total C. (Ordinary shares)  $\frac{N/A}{N/A}$  (Ordinary shares)  $\frac{N/A}{N/A}$ 

For Main Board and GEM listed issuers
Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

Full particulars including EGM approval date (dd/mm/yyyy), if applicable, and class of shares issuable:	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. <u>N/A</u>		
( / / )	•	
shares (Note 1)		
2 NI/A		
2. <u>N/A</u>	-	
( / / )		
shares (Note 1)		
3. N/A		
	-	
( / / ) shares (Note 1)	-	
Shares (Note 1)		
Total D. (Ordinary shares)	N/A	
(Preference shares)	N/A	
(Other class)	N/A	

Class of shares issuable (Note 1)  1. Rights issue At price: State currency N/A Issue and allotment date: (dd/mm/yyyy) ( / / )  EGM approval date: (dd/mm/yyyy) ( / / )  Class of shares issuable (// / )  Class of shares issuable (Note 1)  2. Open offer At price: State currency N/A Issue and allotment date: (dd/mm/yyyy)  EGM approval date: (dd/mm/yyyy)  EGM approval date: (/ / / )  Class of shares issuable (/ / / )		Type of Issue	s in Issued Share Cap	ntai				No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. Rights issue   At price : State currency   N/A   Issue and allotment   date : (dd/mm/yyyy)   ( / / )		Type of Issue			Class of shares issuable				
Class of shares issuable (Note 1)  Class of shares issuable (Note 1)							_		
Class of shares issuable   (Note I)	1.	Rights issue	At price : State currency	N/A		( / /	)		
2. Open offer At price: State currency      N/A					EGM approval date: (dd/mm/yyyy)	( / /	)		
2. Open offer At price: State currency    State currency									
2. Open offer At price: currency date: (dd/mm/yyyy)  EGM approval date: (dd/mm/yyyy)  Class of shares issuable (Note 1)  3. Placing At price: State currency N/A Issue and allotment date: (dd/mm/yyyy)  EGM approval date: (/// )  Class of shares issuable (/// )  Class of shares issuable (/// )  Class of shares issuable (/// )  EGM approval date: (/// )  Class of shares issuable (/// )  Class of shares issuable (/// )  EGM approval date: (/// )							_		
Class of shares issuable	2.	Open offer	At price : State currency	N/A		( / /	)		
(Note 1)   3. Placing   At price : State currency   N/A   Issue and allotment date : (dd/mm/yyyy)					EGM approval date: (dd/mm/yyyy)	( / /	)		
(Note 1)   3. Placing   At price : State currency   N/A   Issue and allotment date : (dd/mm/yyyy)									
Class of shares issuable									
Class of shares issuable	3.	Placing	At price : State currency	N/A		( / /	)		
4. Bonus issue  N/A  Issue and allotment ( / / ) date: (dd/mm/yyyy)  EGM approval date: ( / / )						( / /	)		
4. Bonus issue  N/A  Issue and allotment ( / / ) date: (dd/mm/yyyy)  EGM approval date: ( / / )									
4. Bonus issue  TVA  date: (dd/mm/yyyy)  EGM approval date:							_		
Bow approvar auto:	4.	Bonus issue		N/A		( / /	)		
					EGM approval date: (dd/mm/yyyy)	( / /	)		

For Main Board and GEM listed issuers

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	Type of Issue					No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	1,500 01 15500						
			Class of shares issuable (Note 1)		_X		
5.	Scrip dividend At price : State currency	N/A	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
			AGM approval date: (dd/mm/yyyy)	( / /	)		
			Class of shares repurchased (Note 1)				
6.	Repurchase of shares	N/A	Cancellation date : (dd/mm/yyyy)	( / /	)		
			EGM approval date: (dd/mm/yyyy)	( / /	)		
			Class of shares redeemed (Note 1)				
7.	Redemption of shares	N/A	Redemption date : (dd/mm/yyyy)	( / /	)		
			EGM approval date: (dd/mm/yyyy)	( / /	)		
			Class of shares issuable (Note 1)		_		
8.	Consideration At price : State currency	<u>N/A</u>	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
			EGM approval date: (dd/mm/yyyy)	( / /	)		
						-	

For Main Board and GEM listed issuers

(2) N/A

N/A

N/A

							No. of new shares of issuer issued during the month pursuant thereto	shares of issuer which may be issued pursuant thereto as at close of
	Type of Issue							the month
				Class of shares issuable (Note 1)	: <u></u>			
9.	Capital reorganisation		N/A	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
				EGM approval date: (dd/mm/yyyy)	( / /	)		
10	0.1			Class of shares issuable (Note 1)				
10.	Other (Please specify)	At price : State currency	N/A	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
				EGM approval date: (dd/mm/yyyy)	( / /	)		
				Total E.	(Ordinary s	hare	es) N/A	
					reference s	hare		- - -
T	otal increase /	(dacragea) in ordinar	w charac di	uring the month (i.e. Total of A	to E):		(1) 411,506	

Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):

Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):

(These figures should be the same as the relevant figures under II above ("Movements in Issued Share Capital").)

Remarks (if any):
Submitted by: Wang Wei Min
Title: Assistant Company Secretary (Director, Secretary or other duly authorised officer)

## Notes:

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. If there is insufficient space, please append the prescribed continuation sheet.