

#### Monthly Return of Equity Issuer on Movements in Securities

For the month ended (dd/mm/yyyy): 28/02/2014

To : Hong Kong Exchanges and Clearing Limited

Name of Issuer Date Submitted Standard Chartered PLC 6 March 2014

I. Movements in Authorised Share Capital

1. Ordinary Shares			
(1) Stock code : <u>N/A</u> Descrip	otion :		
	No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month			
Increase/(decrease)			
Balance at close of the month			
(2) Stock code : <u>N/A</u> Descrip	otion :		
	No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month			
Increase/(decrease)			
Balance at close of the month			

# 2. Preference Shares

Stock code :	N/A	Descrip	otion :		
			No. of preference shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close	of preceding	g month			
Increase/(decreas	se)				
Balance at close	of the mont	h			

## 3. Other Classes of Shares

Stock code : <u>N/A</u> Descrip	otion :		
	No. of other classes of shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month			
Increase/(decrease)			
Balance at close of the month			

Total authorised share capital at the end of the month (*State currency*) :

## II. Movements in Issued Share Capital

	No. of ordina	ry shares			No. of other classes
	(1)	(2)	No of pro	eference shares	of shares
Balance at close of preceding month	2,427,393,318	N/A	£1.00 195,285,000	US\$5.00 15,000	N/A
Increase/ (decrease) during the month	20,805		<u>N/A</u>	N/A	
Balance at close of the month	2,427,414,123		195,285,000	15,000	

## III. Details of Movements in Issued Share Capital

Share Options (under Share Option Schemes of the Issuer)

Particulars of share option scheme including EGM approval date		Movement dur	No. of new shares of issuer issued during the month pursuant thereto	of issuer which may		
(dd/mm/yyyy) and class of -	Countral			Tanad	_	the month
shares issuable 1. 1997/2006 Restricted	Granted	Exercised	Cancelled	Lapsed		
Share Schemes						
Ordinary shares	Nil	16,663	Nil	107,382	16,663	6,940,524
(Note 1)						
2. 2000 Executive						
Share Option Scheme						
( / / )						
Ordinary shares (Note 1)	Nil	Nil	Nil	Nil	Nil	23,609
× •						
3. 2001 Performance Share Plan						
Ordinary shares	Nil	Nil	Nil	Nil	Nil	535,629
(Note 1)						,,
4. 2011 Discretionary Share Plan – Performance						
Share Award						
( / / )						
Ordinary shares	Nil	Nil	Nil	1,504	Nil	13,295,050
(Note 1)						
5. 2011 Discretionary Share Plan – Restricted Share Award ( / / ) Ordinary shares (Note 1)	Nil	1,724	Nil	30,236	1,724	15,429,245
6. 1996/2004 International Sharesave Schemes ( / / ) Ordinary shares (Note 1)	Nil	641	Nil	104,817	641	10,154,057
7. 1994/2004 UK Sharesave / Irish Sharesave Schemes ( / / ) Ordinary shares (Note 1)	Nil	1,777	Nil	5,039	1,777	636,195
8. Standard Chartered 2013 Sharesave Plan ( / / )						0.454.400
Ordinary shares (Note 1)	Nil	Nil	Nil	39,287	Nil	3,476,122
(11010-1)			Total A. (Ord	linary shares)	20,805	
				erence shares)		
			-	(Other class)	N/A	
otal funds raised during the me	onth from exe	rcise of options (				
urrency)			£26,101.2	0		

Description of warrants (Date of expiry - dd/mm/yyyy) 1. N/A	Currency of Nominal value at nominal close of value preceding month	Exercised during the month	Nominal value at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
( / / ) Stock code (if listed) Class of shares issuable ( <i>Note 1</i> ) Subscription price EGM approval date (if applicable) (dd/mm/yyyy)					
2. N/A					
( / / ) Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date					
(if applicable) (dd/mm/yyyy) 3. N/A	( / / )				
( / / ) Stock code (if listed) Class of shares issuable (Note 1)	· · · ·				
Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 4. N/A	( / / )				
( / / ) Stock code (if listed) Class of shares issuable ( <i>Note 1</i> )	· · · · _				
Subscription price EGM approval date (if applicable) (dd/mm/yyyy)	( / / )				
	Tota	(Prefere	ary shares) <u>N/A</u> nce shares) <u>N/A</u> Dther class) <u>N/A</u>		

#### For Main Board and GEM listed issuers

Convertibles (i.e. Convertible i	nto Shares of the l	ssuer which are to	be Listed)		No. of new	No. of new
Class and description	Currency of amount outstanding	Amount at close of preceding month	Converted during the month	Amount at close of the month	shares of issuer issued during the month pursuant thereto	shares of issuer which may be issued pursuant thereto as at close of the month
1. N/A						
Stock code (if listed)						
Class of shares issuable ( <i>Note 1</i> ) Subscription price						
EGM approval date						
(if applicable) (dd/mm/yyyy))	( / / )					
2. N/A						
Stock code (if listed) Class of shares issuable ( <i>Note 1</i> )						
Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)	( / / )					
3. N/A						
Stock code (if listed)						
issuable ( <i>Note 1</i> ) Subscription price						
EGM approval date						
(if applicable)						
(dd/mm/yyyy)	( / / )					
Stock code (if listed) Class of shares						
issuable ( <i>Note 1</i> )						
EGM approval date (if applicable)						
(dd/mm/yyyy)	( / / )		Total C. (Ordin	nary shares) N/A	A	
			(Prefere	ence shares) $\overline{N/A}$	A	-
			((	Other class) N/A	<i>H</i>	-

For Main Board and GEM listed issuers Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

Full particulars including EGM approval date (dd/mm/yyyy), if applicable, and class of shares issuable:	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. N/A		
	]	
	-	
shares (Note 1)		
2. N/A		
	]	
	]	
$\frac{( / / )}{2}$		
shares (Note 1)		
3. N/A		
	]	
shares (Note 1)		
Total D. (Ordinary shares)	N/A	
(Preference shares)	N/A	
(Other class)	N/A	

	Type of Issue	s in Issued Share Caj					No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1	Dishts issue	At price . State	N/A	Class of shares issuable ( <i>Note 1</i> ) Issue and allotment				
1.	Rights issue	At price : currency	1011	date : (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy)	( / /			
2.	Open offer	At price : State currency	<u>N/A</u>	Class of shares issuable ( <i>Note 1</i> ) Issue and allotment date : (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy)	( / /			
				Class of shares issuable ( <i>Note 1</i> )				
3.	Placing	At price : State currency	<u>N/A</u>	Issue and allotment date : (dd/mm/yyyy)	( / /			
				EGM approval date: (dd/mm/yyyy)	( / /	)		
				Class of shares issuable (Note 1)				
4.	Bonus issue		N/A	Issue and allotment date : (dd/mm/yyyy)	( / /			
				EGM approval date: (dd/mm/yyyy)	( / /	)		

				For Main Board and GEM listed issuers			
	Type of Issue					No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
			Class of shares issuable ( <i>Note 1</i> )				
5.	Scrip At price : State currency	N/A	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
			AGM approval date: (dd/mm/yyyy)	( / /	)		
			Class of shares repurchased ( <i>Note 1</i> )				
6.	Repurchase of shares	N/A	Cancellation date : (dd/mm/yyyy)	( / /	)		
			EGM approval date: (dd/mm/yyyy)	( / /	)		
			Class of shares redeemed ( <i>Note 1</i> )				
7.	Redemption of shares	N/A	Redemption date : (dd/mm/yyyy)	( / /	)		
			EGM approval date: (dd/mm/yyyy)	( / /	)		
			Class of shares issuable (Note 1)				
8.	Consideration At price : State currency	N/A	Issue and allotment date : (dd/mm/yyyy)	( / /			
			EGM approval date: (dd/mm/yyyy)	( / /	)		
L							

					For Mai	n Bo	oard and GEM listed is	
	Type of Issue						No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
				Class of shares issuable ( <i>Note 1</i> )				
9.	Capital reorganisation		N/A	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
				EGM approval date: (dd/mm/yyyy)	( / /	)		
10	0.1			Class of shares issuable (Note 1)				
10.	Other (Please specify)	At price : State currency	N/A	- Issue and allotment date : (dd/mm/yyyy)	( / /	)		
				EGM approval date: (dd/mm/yyyy)	( / /	)		
					Ordinary s			
				(Pi	reference s (Other		$\frac{N/A}{N/A}$	

Total increase / (decrease) in ordinary shares during the month (i.e. Total of A to E):	(1)	20,805				
	(2)	N/A				
Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):		N/A				
Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):		N/A				
(These figures should be the same as the relevant figures under II above ("Movements in Issued Share Capital").)						

Remarks (if any):

Submitted by: <u>Wang Wei Min</u>

 Title:
 Assistant Company Secretary

 (Director, Secretary or other duly authorised officer)

Notes:

1. State the class of shares (e.g. ordinary, preference or other).

2. If there is insufficient space, please append the prescribed continuation sheet.