

## **Monthly Return of Equity Issuer on Movements in Securities**

For the month ended (dd/mm/yy	yy): <u>31/12/2014</u>		
To: Hong Kong Exchanges and Cl	learing Limited		
Name of Issuer Sta	andard Chartered PLC		
Date Submitted 7 J	anuary 2015		
I. Movements in Authorised Share	Capital		
1. Ordinary Shares			
(1) Stock code : <u>N/A</u> D	escription :		
	No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding mon	th		
Increase/(decrease)			
Balance at close of the month			
(2) Stock code : N/A D	escription:		
	No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding mon	th		
Increase/(decrease)			
Balance at close of the month			

Stock code: N/A	Description :		
	No. of preference shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding	month		
Increase/(decrease)			_
Balance at close of the month			
3. Other Classes of Shares			
3. Other Classes of Shares  Stock code : N/A	Description :		
	Description :  No. of other classes of shares	Par value (State currency)	
	No. of other classes of shares	Par value (State	Authorised share capital
Stock code : N/A	No. of other classes of shares	Par value (State	Authorised share capital

currency):

## II. Movements in Issued Share Capital

	No. of ordinar	ry shares			No. of other classes
	(1)	(2)	No of pro	eference shares	of shares
Balance at close of preceding month	2,472,473,634	N/A	£1.00 195,285,000	US\$5.00 15,000	N/A
Increase/ (decrease) during the month	217,762		N/A	N/A	
Balance at close of the month	2,472,691,396		195,285,000	15,000	

## III. Details of Movements in Issued Share Capital

Share Options (under Share Option Schemes of the Issuer)

Particulars of share option scheme including EGM approval date	puon sonome				No. of new shares of issuer issued during the month pursuant thereto	of issuer which may
(dd/mm/yyyy) and class of -		Movement dur	-	the month		
shares issuable	Granted	Exercised	Cancelled	Lapsed		
1. 1997/2006 Restricted Share Schemes						
( / / )						
Ordinary shares	Nil	122,309	Nil	239	122,309	2,245,081
(Note 1)						
2. 2000 Executive						
Share Option Scheme						
( / / )	2711	277	2711	2711	3.711	277
$\frac{\text{Ordinary shares}}{(Note \ 1)}$	Nil	Nil	Nil	Nil	Nil	Nil
(Note 1)						
3. 2001 Performance						
Share Plan						
Ordinary shares	Nil	Nil	Nil	Nil	Nil	249,645
(Note 1)	1111					247,043
4. 2011 Discretionary Share Plan – Performance						
Share Award						
( / / )						
Ordinary shares	6,781	4,803	Nil	28,286	4,803	14,277,137
(Note 1)						
5. 2011 Discretionary						
Share Plan – Restricted						
Share Award						
Ordinary shares	196,099	70,640	Nil	49,911	70,640	18,235,300
(Note 1)	170,077	70,010		17,711	70,010	10,233,300
c 1006/2004						
6. 1996/2004 International Sharesave						
Schemes						
( / / )						
Ordinary shares	Nil	18,507	Nil	1,001,962	18,507	6,709,086
(Note 1)						
7. 1994/2004						
UK Sharesave / Irish						
Sharesave Schemes						
Ordinary shares	Nil	1,503	Nil	124,544	1,503	317,723
(Note 1)	1111	1,505			1,505	317,723
,						
8. Standard Chartered 2013 Sharesave Plan						
( / / )						
Ordinary shares	Nil	Nil	Nil	224,247	Nil	6,990,734
(Note 1)			T-4.1 A (C	1:	217.762	
				dinary shares) erence shares)		
			(1 1010	(Other class)		
Total funds raised during the mo	onth from exer	cise of options (S	State	·		
currency)		F : (-	£213,705.	.83		

Description of warrants (Date of expiry - dd/mm/yyyy)	Currency of Nominal value at nominal close of value preceding month	Exercised during the month	Nominal value at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. N/A					
Stock code (if listed) Class of shares issuable (Note 1) Subscription price					
EGM approval date (if applicable)					
(dd/mm/yyyy) 2. N/A	( / / )				
2. IVA					
( / / ) Stock code (if listed) Class of shares issuable (Note 1)					
Subscription price EGM approval date (if applicable) (dd/mm/yyyy)  3. N/A	( / / )				
( / / ) Stock code (if listed) Class of shares issuable (Note 1)					
Subscription price EGM approval date					
(if applicable) (dd/mm/yyyy)	( / / )				
4. N/A	, , , , , , , , , , , , , , , , , , , ,				
( / / )			. <u></u>		
Stock code (if listed) Class of shares issuable (Note 1)					
Subscription price EGM approval date (if applicable)					
(dd/mm/yyyy)	( / / )				
	Total	(Prefere	nary shares) N/A ence shares) N/A	<b>\</b>	- -
		(	Other class) N/A	Δ	<u>-</u>

Convertibles (i.e. Convertible into Shares of the Issuer which are to be Listed)

	s and description		Amount at close of preceding month	Converted during the month	Amount at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1.	N/A	<u></u>					
		<del></del>					
		<u> </u>					
	Stock code (if listed) Class of shares						
	issuable (Note 1)						
	Subscription price EGM approval date						
	(if applicable)						
2	(dd/mm/yyyy))	( / / )					
2.	N/A	<u></u>					
	Stock code (if listed)						
	Class of shares						
	issuable (Note 1)						
	Subscription price EGM approval date						
	(if applicable)						
3.	(dd/mm/yyyy) N/A	( / / )					
		<del></del>					
		<u> </u>					
	Stock code (if listed)						
	Class of shares						
	issuable ( <i>Note 1</i> ) Subscription price						
	EGM approval date						
	(if applicable) (dd/mm/yyyy)	( / / )					
<u>4.</u>	N/A						
		<u> </u>					
	Stock code (if listed)						-
	Class of shares issuable (Note 1)						
	Subscription price						
	EGM approval date (if applicable)						
	(dd/mm/yyyy)	( / / )		T. 10 (0 "	1 \37/		
					nary shares) $\frac{N/A}{N/A}$ ence shares) $\frac{N}{A}$		-
					Other class) $\frac{N/A}{N}$		<del>-</del> -

For Main Board and GEM listed issuers
Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

Full particulars including EGM approval date (dd/mm/yyyy), if applicable, and class of shares issuable:	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. <u>N/A</u>	-	
( / / )	•	
shares (Note 1)		
2 NI/A		
2. <u>N/A</u>	-	
( / / )		
shares (Note 1)		
3. N/A		
	-	
( / / ) shares (Note 1)	-	
Shares (Note 1)		
Total D. (Ordinary shares)	N/A	
(Preference shares)	N/A	•
(Other class)	N/A	

Class of shares issuable (Note 1)  1. Rights issue At price: State currency N/A Issue and allotment date: (dd/mm/yyyy) ( / / )  EGM approval date: (dd/mm/yyyy) ( / / )  Class of shares issuable (// / )  Class of shares issuable (// / )  Class of shares issuable (// / )  EGM approval date: (dd/mm/yyyy)  EGM approval date: (dd/mm/yyyy)  EGM approval date: (/ / / )  Class of shares issuable (/ / / )		Type of Issue	s in Issued Share Cap	ntai				No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. Rights issue   At price : State currency   N/A   Issue and allotment   date : (dd/mm/yyyy)   ( / / )		Type of Issue			Class of shares issuable				
Class of shares issuable (Note 1)  Class of shares issuable (Note 1)							_		
Class of shares issuable   (Note I)	1.	Rights issue	At price : State currency	N/A		( / /	)		
2. Open offer At price: State currency      N/A					EGM approval date: (dd/mm/yyyy)	( / /	)		
2. Open offer At price: State currency    State currency									
2. Open offer At price: currency date: (dd/mm/yyyy)  EGM approval date: (dd/mm/yyyy)  Class of shares issuable (Note 1)  State currency N/A Issue and allotment (// )  EGM approval date: (dd/mm/yyyy)  EGM approval date: (// )  Class of shares issuable (// )  Class of shares issuable (// )  EGM approval date: (// )  Class of shares issuable (// )  EGM approval date: (// )  EGM approval date: (// )							_		
Class of shares issuable	2.	Open offer	At price : State currency	N/A		( / /	)		
(Note 1)   3. Placing   At price : State currency   N/A   Issue and allotment date : (dd/mm/yyyy)					EGM approval date: (dd/mm/yyyy)	( / /	)		
(Note 1)   3. Placing   At price : State currency   N/A   Issue and allotment date : (dd/mm/yyyy)									
Class of shares issuable									
Class of shares issuable	3.	Placing	At price : State currency	N/A		( / /	)		
4. Bonus issue  N/A  Issue and allotment ( / / ) date: (dd/mm/yyyy)  EGM approval date: ( / / )						( / /	)		
4. Bonus issue  N/A  Issue and allotment ( / / ) date: (dd/mm/yyyy)  EGM approval date: ( / / )									
4. Bonus issue  TVA  date: (dd/mm/yyyy)  EGM approval date:							_		
Bow approvar auto:	4.	Bonus issue		N/A		( / /	)		
					EGM approval date: (dd/mm/yyyy)	( / /	)		

For Main Board and GEM listed issuers

				For Mai	n Bo	oard and GEM listed is	
	Type of Issue					No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	Type of issue						
			Class of shares issuable (Note 1)		_		
5.	Scrip dividend At price : State currency	N/A	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
			AGM approval date: (dd/mm/yyyy)	( / /	)		
			Class of shares repurchased (Note 1)				
6.	Repurchase of shares	N/A	Cancellation date : (dd/mm/yyyy)	( / /	)		
			EGM approval date: (dd/mm/yyyy)	( / /	)		
			Class of shares redeemed (Note 1)				
7.	Redemption of shares	N/A	Redemption date : (dd/mm/yyyy)	( / /	)		
			EGM approval date: (dd/mm/yyyy)	( / /	)		
			Class of shares issuable (Note 1)				
8.	Consideration At price : State currency	N/A	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
			EGM approval date: (dd/mm/yyyy)	( / /	)		
1							

For Main Board and GEM listed issuers

N/A

N/A

	Type of Issue							No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
					Class of shares issuable (Note 1)				
9.	Capital reorganisation			N/A	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
					EGM approval date: (dd/mm/yyyy)	( / /	)		
					Class of shares issuable (Note 1)				
10.	Other (Please specify)	At price : Sta	rency	N/A	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
					EGM approval date: (dd/mm/yyyy)	( / /	)		
						Ordinary reference (Othe	share		- - -
T	otal increase /	(decrease) in o	rdinary	shares du	uring the month (i.e. Total of A	to E):		(1) 217,762	
								(2) N/A	

Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):

Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):

(These figures should be the same as the relevant figures under II above ("Movements in Issued Share Capital").)

Remarks (if any):
Submitted by: Wang Wei Min
Title: Assistant Company Secretary (Director, Secretary or other duly authorised officer)

## Notes:

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. If there is insufficient space, please append the prescribed continuation sheet.