



### Monthly Return of Equity Issuer on Movements in Securities

For the month ended (dd/mm/yyyy) : 28/02/2013

To : Hong Kong Exchanges and Clearing Limited

Name of Issuer Standard Chartered PLC

Date Submitted 6 March 2013

#### I. Movements in Authorised Share Capital

##### 1. Ordinary Shares

(1) Stock code : N/A Description : \_\_\_\_\_

|                                     | No. of ordinary<br>shares | Par value<br>( <i>State<br/>currency</i> ) | Authorised share<br>capital<br>( <i>State currency</i> ) |
|-------------------------------------|---------------------------|--|--|
| Balance at close of preceding month | _____                     | _____                                      | _____  |
| Increase/(decrease)                 | _____                     | _____                                      | _____  |
| Balance at close of the month       | _____                     | _____                                      | _____  |

(2) Stock code : N/A Description : \_\_\_\_\_

|                                     | No. of ordinary<br>shares | Par value<br>( <i>State<br/>currency</i> ) | Authorised share<br>capital<br>( <i>State currency</i> ) |
|-------------------------------------|---------------------------|--|--|
| Balance at close of preceding month | _____                     | _____                                      | _____  |
| Increase/(decrease)                 | _____                     | _____                                      | _____  |
| Balance at close of the month       | _____                     | _____                                      | _____  |

## 2. Preference Shares

|                                     |            |                          |  |   |
|-------------------------------------|------------|--------------------------|--|---|
| Stock code :                        | <u>N/A</u> | Description :            | _____                                  |   |
|                                     |            | No. of preference shares | Par value<br>( <i>State currency</i> ) | Authorised share capital<br>( <i>State currency</i> ) |
| Balance at close of preceding month |            | _____                    | _____                                  | _____   |
| Increase/(decrease)                 |            | _____                    |  | _____   |
| Balance at close of the month       |            | _____                    | _____                                  | _____   |

## 3. Other Classes of Shares

|                                     |            |                                |  |   |
|-------------------------------------|------------|--------------------------------|--|---|
| Stock code :                        | <u>N/A</u> | Description :                  | _____                                  |   |
|                                     |            | No. of other classes of shares | Par value<br>( <i>State currency</i> ) | Authorised share capital<br>( <i>State currency</i> ) |
| Balance at close of preceding month |            | _____                          | _____                                  | _____   |
| Increase/(decrease)                 |            | _____                          |  | _____   |
| Balance at close of the month       |            | _____                          | _____                                  | _____   |

Total authorised share capital at the end of the month (*State currency*) :

\_\_\_\_\_

## II. Movements in Issued Share Capital

|  | No. of ordinary shares |            | No of preference shares |                | No. of other classes<br>of shares |
|--|------------------------|------------|-------------------------|----------------|-----------------------------------|
|  | (1)                    | (2)        | £1.00                   | US\$5.00       |                                   |
| Balance at close of<br>preceding month   | <u>2,413,035,184</u>   | <u>N/A</u> | <u>195,285,000</u>      | <u>477,500</u> | <u>N/A</u>                        |
| Increase/ (decrease)<br>during the month | <u>26,033</u>          | <u></u>    | <u>N/A</u>              | <u>N/A</u>     | <u></u>                           |
| Balance at close of the<br>month         | <u>2,413,061,217</u>   | <u></u>    | <u>195,285,000</u>      | <u>477,500</u> | <u></u>                           |

## III. Details of Movements in Issued Share Capital

## Share Options (under Share Option Schemes of the Issuer)

| Particulars of share option scheme including EGM approval date (dd/mm/yyyy) and class of shares issuable | Movement during the month |           |           |                            | No. of new shares of issuer issued during the month pursuant thereto | No. of new shares of issuer which may be issued pursuant thereto as at close of the month |
|--|---------------------------|-----------|-----------|----------------------------|--|---|
|  | Granted                   | Exercised | Cancelled | Lapsed                     |  |   |
| 1. 1997/2006 Restricted Share Schemes<br>( / / )<br>Ordinary shares                                      | Nil                       | 20,441    | Nil       | 28,978                     | 20,441   | 16,579,879  |
| (Note 1)   |                           |           |           |                            |  |   |
| 2. 2000 Executive Share Option Scheme<br>( / / )<br>Ordinary shares                                      | Nil                       | Nil       | Nil       | Nil                        | Nil  | 351,044   |
| (Note 1)   |                           |           |           |                            |  |   |
| 3. 2001 Performance Share Plan<br>( / / )<br>Ordinary shares   | Nil                       | 2,820     | Nil       | Nil                        | 2,820  | 2,218,437   |
| (Note 1)   |                           |           |           |                            |  |   |
| 4. 2011 Discretionary Share Plan – Performance Share Award<br>( / / )<br>Ordinary shares                 | Nil                       | Nil       | Nil       | 14,353                     | Nil  | 8,987,369   |
| (Note 1)   |                           |           |           |                            |  |   |
| 5. 2011 Discretionary Share Plan – Restricted Share Award<br>( / / )<br>Ordinary shares                  | Nil                       | Nil       | Nil       | 15,021                     | Nil  | 10,532,466  |
| (Note 1)   |                           |           |           |                            |  |   |
| 6. 1996/2004 International Sharesave Schemes<br>( / / )<br>Ordinary shares                               | Nil                       | 2,772     | Nil       | 57,766                     | 2,772  | 13,044,925  |
| (Note 1)   |                           |           |           |                            |  |   |
| 7. 1994/2004 UK Sharesave Schemes<br>( / / )<br>Ordinary shares  | Nil                       | Nil       | Nil       | 554                        | Nil  | 895,722   |
| (Note 1)   |                           |           |           |                            |  |   |
|  |                           |           |           | Total A. (Ordinary shares) | 26,033   |   |
|  |                           |           |           | (Preference shares)        | N/A  |   |
|  |                           |           |           | (Other class)              | N/A  |   |
| Total funds raised during the month from exercise of options (State currency)                            |                           |           |           | £28,112.66                 |  |   |

## Warrants to Issue Shares of the Issuer which are to be Listed

| Description of warrants<br>(Date of expiry - dd/mm/yyyy) | Currency of<br>nominal<br>value | Nominal value at<br>close of<br>preceding month | Exercised<br>during the<br>month | Nominal value<br>at close of the<br>month | No. of new<br>shares of<br>issuer issued<br>during the<br>month<br>pursuant<br>thereto | No. of new<br>shares of<br>issuer which<br>may be issued<br>pursuant<br>thereto as at<br>close of the<br>month |
|--|---------------------------------|---|----------------------------------|---|--|--|
| 1. N/A   |                                 |   |                                  |   |  |  |
| ( / / )  |                                 |   |                                  |   |  |  |
| Stock code (if listed)                                   |                                 |   |                                  |   |  |  |
| Class of shares<br>issuable ( <i>Note 1</i> )            |                                 |   |                                  |   |  |  |
| Subscription price                                       |                                 |   |                                  |   |  |  |
| EGM approval date<br>(if applicable)<br>(dd/mm/yyyy)     |                                 | ( / / )   |                                  |   |  |  |
| 2. N/A   |                                 |   |                                  |   |  |  |
| ( / / )  |                                 |   |                                  |   |  |  |
| Stock code (if listed)                                   |                                 |   |                                  |   |  |  |
| Class of shares<br>issuable ( <i>Note 1</i> )            |                                 |   |                                  |   |  |  |
| Subscription price                                       |                                 |   |                                  |   |  |  |
| EGM approval date<br>(if applicable)<br>(dd/mm/yyyy)     |                                 | ( / / )   |                                  |   |  |  |
| 3. N/A   |                                 |   |                                  |   |  |  |
| ( / / )  |                                 |   |                                  |   |  |  |
| Stock code (if listed)                                   |                                 |   |                                  |   |  |  |
| Class of shares<br>issuable ( <i>Note 1</i> )            |                                 |   |                                  |   |  |  |
| Subscription price                                       |                                 |   |                                  |   |  |  |
| EGM approval date<br>(if applicable)<br>(dd/mm/yyyy)     |                                 | ( / / )   |                                  |   |  |  |
| 4. N/A   |                                 |   |                                  |   |  |  |
| ( / / )  |                                 |   |                                  |   |  |  |
| Stock code (if listed)                                   |                                 |   |                                  |   |  |  |
| Class of shares<br>issuable ( <i>Note 1</i> )            |                                 |   |                                  |   |  |  |
| Subscription price                                       |                                 |   |                                  |   |  |  |
| EGM approval date<br>(if applicable)<br>(dd/mm/yyyy)     |                                 | ( / / )   |                                  |   |  |  |
|  |                                 |   |                                  | Total B.                                  | (Ordinary shares) N/A  |  |
|  |                                 |   |                                  |   | (Preference shares) N/A  |  |
|  |                                 |   |                                  |   | (Other class) N/A  |  |

## Convertibles (i.e. Convertible into Shares of the Issuer which are to be Listed)

| Class and description                          | Currency of amount outstanding | Amount at close of preceding month | Converted during the month | Amount at close of the month | No. of new shares of issuer issued during the month pursuant thereto | No. of new shares of issuer which may be issued pursuant thereto as at close of the month |
|--|--------------------------------|------------------------------------|----------------------------|------------------------------|--|---|
| 1. N/A   |                                |                                    |                            |                              |  |   |
| Stock code (if listed)                         |                                |                                    |                            |                              |  |   |
| Class of shares issuable ( <i>Note 1</i> )     |                                |                                    |                            |                              |  |   |
| Subscription price                             |                                |                                    |                            |                              |  |   |
| EGM approval date (if applicable) (dd/mm/yyyy) |                                | ( / / )                            |                            |                              |  |   |
| 2. N/A   |                                |                                    |                            |                              |  |   |
| Stock code (if listed)                         |                                |                                    |                            |                              |  |   |
| Class of shares issuable ( <i>Note 1</i> )     |                                |                                    |                            |                              |  |   |
| Subscription price                             |                                |                                    |                            |                              |  |   |
| EGM approval date (if applicable) (dd/mm/yyyy) |                                | ( / / )                            |                            |                              |  |   |
| 3. N/A   |                                |                                    |                            |                              |  |   |
| Stock code (if listed)                         |                                |                                    |                            |                              |  |   |
| Class of shares issuable ( <i>Note 1</i> )     |                                |                                    |                            |                              |  |   |
| Subscription price                             |                                |                                    |                            |                              |  |   |
| EGM approval date (if applicable) (dd/mm/yyyy) |                                | ( / / )                            |                            |                              |  |   |
| 4. N/A   |                                |                                    |                            |                              |  |   |
| Stock code (if listed)                         |                                |                                    |                            |                              |  |   |
| Class of shares issuable ( <i>Note 1</i> )     |                                |                                    |                            |                              |  |   |
| Subscription price                             |                                |                                    |                            |                              |  |   |
| EGM approval date (if applicable) (dd/mm/yyyy) |                                | ( / / )                            |                            |                              |  |   |
|  |                                |                                    |                            | Total C. (Ordinary shares)   | N/A  |   |
|  |                                |                                    |                            | (Preference shares)          | N/A  |   |
|  |                                |                                    |                            | (Other class)                | N/A  |   |



## Other Movements in Issued Share Capital

| Type of Issue |              |                           |            | No. of new shares of issuer issued during the month pursuant thereto  | No. of new shares of issuer which may be issued pursuant thereto as at close of the month |
|---------------|--------------|---------------------------|------------|---|---|
| 1.            | Rights issue | At price : State currency | <u>N/A</u> | Class of shares issuable (Note 1) _____<br>Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date: (dd/mm/yyyy) ( / / ) | _____   |
| 2.            | Open offer   | At price : State currency | <u>N/A</u> | Class of shares issuable (Note 1) _____<br>Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date: (dd/mm/yyyy) ( / / ) | _____   |
| 3.            | Placing      | At price : State currency | <u>N/A</u> | Class of shares issuable (Note 1) _____<br>Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date: (dd/mm/yyyy) ( / / ) | _____   |
| 4.            | Bonus issue  |                           | <u>N/A</u> | Class of shares issuable (Note 1) _____<br>Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date: (dd/mm/yyyy) ( / / ) | _____   |



## For Main Board and GEM listed issuers

| Type of Issue |                      |                           |            | No. of new shares of issuer issued during the month pursuant thereto  | No. of new shares of issuer which may be issued pursuant thereto as at close of the month |         |
|---------------|----------------------|---------------------------|------------|---|---|---------|
| 5.            | Scrip dividend       | At price : State currency | <u>N/A</u> | Class of shares issuable _____<br><i>(Note 1)</i><br>Issue and allotment date : (dd/mm/yyyy)<br>AGM approval date: (dd/mm/yyyy) | ( / / )   | ( / / ) |
| 6.            | Repurchase of shares |                           | <u>N/A</u> | Class of shares repurchased _____<br><i>(Note 1)</i><br>Cancellation date : (dd/mm/yyyy)<br>EGM approval date: (dd/mm/yyyy)     | ( / / )   | ( / / ) |
| 7.            | Redemption of shares |                           | <u>N/A</u> | Class of shares redeemed _____<br><i>(Note 1)</i><br>Redemption date : (dd/mm/yyyy)<br>EGM approval date: (dd/mm/yyyy)          | ( / / )   | ( / / ) |
| 8.            | Consideration issue  | At price : State currency | <u>N/A</u> | Class of shares issuable _____<br><i>(Note 1)</i><br>Issue and allotment date : (dd/mm/yyyy)<br>EGM approval date: (dd/mm/yyyy) | ( / / )   | ( / / ) |

For Main Board and GEM listed issuers

| Type of Issue              |                           |            | No. of new shares of issuer issued during the month pursuant thereto   | No. of new shares of issuer which may be issued pursuant thereto as at close of the month |
|----------------------------|---------------------------|------------|--|---|
| 9. Capital reorganisation  | At price : State currency | <u>N/A</u> | Class of shares issuable _____<br>(Note 1)<br>Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date: (dd/mm/yyyy) ( / / ) | _____   |
| 10. Other (Please specify) | At price : State currency | <u>N/A</u> | Class of shares issuable _____<br>(Note 1)<br>Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date: (dd/mm/yyyy) ( / / ) | _____   |
|                            |                           |            | Total E. (Ordinary shares) <u>N/A</u>  |   |
|                            |                           |            | (Preference shares) <u>N/A</u>   |   |
|                            |                           |            | (Other class) <u>N/A</u>   |   |

|   |     |               |
|---|-----|---------------|
| Total increase / (decrease) in ordinary shares during the month (i.e. Total of A to E):                                 | (1) | <u>26,033</u> |
|   | (2) | <u>N/A</u>    |
| Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):                               |     | <u>N/A</u>    |
| Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):                         |     | <u>N/A</u>    |
| <i>(These figures should be the same as the relevant figures under II above ("Movements in Issued Share Capital").)</i> |     |               |

