



香港交易所

**Monthly Return of Equity Issuer on Movements in Securities****For the month ended (dd/mm/yyyy) :** 31/07/2015

To : Hong Kong Exchanges and Clearing Limited

Name of Issuer Standard Chartered PLCDate Submitted 6 August 2015**I. Movements in Authorised Share Capital****1. Ordinary Shares**(1) Stock code : N/A Description : \_\_\_\_\_

|                                     | No. of ordinary<br>shares | Par value<br>( <i>State<br/>currency</i> ) | Authorised share<br>capital<br>( <i>State currency</i> ) |
|-------------------------------------|---------------------------|--------------------------------------------|----------------------------------------------------------|
| Balance at close of preceding month | _____                     | _____                                      | _____                                                    |
| Increase/(decrease)                 | _____                     |                                            | _____                                                    |
| Balance at close of the month       | _____                     | _____                                      | _____                                                    |

(2) Stock code : N/A Description : \_\_\_\_\_

|                                     | No. of ordinary<br>shares | Par value<br>( <i>State<br/>currency</i> ) | Authorised share<br>capital<br>( <i>State currency</i> ) |
|-------------------------------------|---------------------------|--------------------------------------------|----------------------------------------------------------|
| Balance at close of preceding month | _____                     | _____                                      | _____                                                    |
| Increase/(decrease)                 | _____                     |                                            | _____                                                    |
| Balance at close of the month       | _____                     | _____                                      | _____                                                    |

## 2. Preference Shares

|                                     |                             |                                            |                                                          |  |
|-------------------------------------|-----------------------------|--------------------------------------------|----------------------------------------------------------|--|
| Stock code :                        | <u>N/A</u>                  | Description :                              | _____                                                    |  |
|                                     | No. of preference<br>shares | Par value<br>( <i>State<br/>currency</i> ) | Authorised share<br>capital<br>( <i>State currency</i> ) |  |
| Balance at close of preceding month | _____                       | _____                                      | _____                                                    |  |
| Increase/(decrease)                 | _____                       |                                            | _____                                                    |  |
| Balance at close of the month       | _____                       | _____                                      | _____                                                    |  |

## 3. Other Classes of Shares

|                                     |                                   |                                            |                                                          |  |
|-------------------------------------|-----------------------------------|--------------------------------------------|----------------------------------------------------------|--|
| Stock code :                        | <u>N/A</u>                        | Description :                              | _____                                                    |  |
|                                     | No. of other<br>classes of shares | Par value<br>( <i>State<br/>currency</i> ) | Authorised share<br>capital<br>( <i>State currency</i> ) |  |
| Balance at close of preceding month | _____                             | _____                                      | _____                                                    |  |
| Increase/(decrease)                 | _____                             |                                            | _____                                                    |  |
| Balance at close of the month       | _____                             | _____                                      | _____                                                    |  |

Total authorised share capital at the end of the month (*State  
currency*) :

\_\_\_\_\_

## II. Movements in Issued Share Capital

|                                       | No. of ordinary shares |            | No of preference shares      |                            | No. of other classes of shares |
|---------------------------------------|------------------------|------------|------------------------------|----------------------------|--------------------------------|
|                                       | (1)                    | (2)        |                              |                            |                                |
| Balance at close of preceding month   | <u>2,546,693,131</u>   | <u>N/A</u> | <u>£1.00<br/>195,285,000</u> | <u>US\$5.00<br/>15,000</u> | <u>N/A</u>                     |
| Increase/ (decrease) during the month | <u>102,251</u>         | <u></u>    | <u>N/A</u>                   | <u>N/A</u>                 | <u></u>                        |
| Balance at close of the month         | <u>2,546,795,382</u>   | <u></u>    | <u>195,285,000</u>           | <u>15,000</u>              | <u></u>                        |

## III. Details of Movements in Issued Share Capital

## Share Options (under Share Option Schemes of the Issuer)

| Particulars of share option scheme including EGM approval date (dd/mm/yyyy) and class of shares issuable | Movement during the month |           |           |         | No. of new shares of issuer issued during the month pursuant thereto | No. of new shares of issuer which may be issued pursuant thereto as at close of the month |
|----------------------------------------------------------------------------------------------------------|---------------------------|-----------|-----------|---------|----------------------------------------------------------------------|-------------------------------------------------------------------------------------------|
|                                                                                                          | Granted                   | Exercised | Cancelled | Lapsed  |                                                                      |                                                                                           |
| 1. 1997/2006 Restricted Share Schemes<br>( / / )<br>Ordinary shares                                      | Nil                       | 862       | Nil       | Nil     | 862                                                                  | 1,563,493                                                                                 |
| (Note 1)                                                                                                 |                           |           |           |         |                                                                      |                                                                                           |
| 2. 2000 Executive Share Option Scheme<br>( / / )<br>Ordinary shares                                      | Nil                       | Nil       | Nil       | Nil     | Nil                                                                  | Nil                                                                                       |
| (Note 1)                                                                                                 |                           |           |           |         |                                                                      |                                                                                           |
| 3. 2001 Performance Share Plan<br>( / / )<br>Ordinary shares                                             | Nil                       | Nil       | Nil       | Nil     | Nil                                                                  | 162,260                                                                                   |
| (Note 1)                                                                                                 |                           |           |           |         |                                                                      |                                                                                           |
| 4. 2011 Discretionary Share Plan – Performance Share Award<br>( / / )<br>Ordinary shares                 | Nil                       | 4,127     | Nil       | 51,884  | 4,127                                                                | 9,420,021                                                                                 |
| (Note 1)                                                                                                 |                           |           |           |         |                                                                      |                                                                                           |
| 5. 2011 Discretionary Share Plan – Restricted Share Award<br>( / / )<br>Ordinary shares                  | Nil                       | 97,262    | Nil       | 105,305 | 97,262                                                               | 21,694,994                                                                                |
| (Note 1)                                                                                                 |                           |           |           |         |                                                                      |                                                                                           |
| 6. 1996/2004 International Sharesave Schemes<br>( / / )<br>Ordinary shares                               | Nil                       | Nil       | Nil       | 95,884  | Nil                                                                  | 3,272,799                                                                                 |
| (Note 1)                                                                                                 |                           |           |           |         |                                                                      |                                                                                           |
| 7. 1994/2004 UK Sharesave / Irish Sharesave Schemes<br>( / / )<br>Ordinary shares                        | Nil                       | Nil       | Nil       | 6,844   | Nil                                                                  | 176,619                                                                                   |
| (Note 1)                                                                                                 |                           |           |           |         |                                                                      |                                                                                           |
| 8. Standard Chartered 2013 Sharesave Plan<br>( / / )<br>Ordinary shares                                  | Nil                       | Nil       | Nil       | 127,972 | Nil                                                                  | 6,115,734                                                                                 |
| (Note 1)                                                                                                 |                           |           |           |         |                                                                      |                                                                                           |
| Total A. (Ordinary shares)                                                                               |                           |           |           |         | 102,251                                                              |                                                                                           |
| (Preference shares)                                                                                      |                           |           |           |         | N/A                                                                  |                                                                                           |
| (Other class)                                                                                            |                           |           |           |         | N/A                                                                  |                                                                                           |
| Total funds raised during the month from exercise of options (State currency)                            |                           |           |           |         | 0                                                                    |                                                                                           |

## Warrants to Issue Shares of the Issuer which are to be Listed

| Description of warrants<br>(Date of expiry - dd/mm/yyyy) | Currency of<br>nominal<br>value | Nominal value at<br>close of<br>preceding month | Exercised<br>during the<br>month | Nominal value<br>at close of the<br>month | No. of new<br>shares of<br>issuer issued<br>during the<br>month<br>pursuant<br>thereto | No. of new<br>shares of<br>issuer which<br>may be issued<br>pursuant<br>thereto as at<br>close of the<br>month |
|----------------------------------------------------------|---------------------------------|-------------------------------------------------|----------------------------------|-------------------------------------------|----------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|
| 1. N/A                                                   |                                 |                                                 |                                  |                                           |                                                                                        |                                                                                                                |
| ( / / )                                                  |                                 |                                                 |                                  |                                           |                                                                                        |                                                                                                                |
| Stock code (if listed)                                   |                                 |                                                 |                                  |                                           |                                                                                        |                                                                                                                |
| Class of shares<br>issuable (Note 1)                     |                                 |                                                 |                                  |                                           |                                                                                        |                                                                                                                |
| Subscription price                                       |                                 |                                                 |                                  |                                           |                                                                                        |                                                                                                                |
| EGM approval date<br>(if applicable)<br>(dd/mm/yyyy)     |                                 | ( / / )                                         |                                  |                                           |                                                                                        |                                                                                                                |
| 2. N/A                                                   |                                 |                                                 |                                  |                                           |                                                                                        |                                                                                                                |
| ( / / )                                                  |                                 |                                                 |                                  |                                           |                                                                                        |                                                                                                                |
| Stock code (if listed)                                   |                                 |                                                 |                                  |                                           |                                                                                        |                                                                                                                |
| Class of shares<br>issuable (Note 1)                     |                                 |                                                 |                                  |                                           |                                                                                        |                                                                                                                |
| Subscription price                                       |                                 |                                                 |                                  |                                           |                                                                                        |                                                                                                                |
| EGM approval date<br>(if applicable)<br>(dd/mm/yyyy)     |                                 | ( / / )                                         |                                  |                                           |                                                                                        |                                                                                                                |
| 3. N/A                                                   |                                 |                                                 |                                  |                                           |                                                                                        |                                                                                                                |
| ( / / )                                                  |                                 |                                                 |                                  |                                           |                                                                                        |                                                                                                                |
| Stock code (if listed)                                   |                                 |                                                 |                                  |                                           |                                                                                        |                                                                                                                |
| Class of shares<br>issuable (Note 1)                     |                                 |                                                 |                                  |                                           |                                                                                        |                                                                                                                |
| Subscription price                                       |                                 |                                                 |                                  |                                           |                                                                                        |                                                                                                                |
| EGM approval date<br>(if applicable)<br>(dd/mm/yyyy)     |                                 | ( / / )                                         |                                  |                                           |                                                                                        |                                                                                                                |
| 4. N/A                                                   |                                 |                                                 |                                  |                                           |                                                                                        |                                                                                                                |
| ( / / )                                                  |                                 |                                                 |                                  |                                           |                                                                                        |                                                                                                                |
| Stock code (if listed)                                   |                                 |                                                 |                                  |                                           |                                                                                        |                                                                                                                |
| Class of shares<br>issuable (Note 1)                     |                                 |                                                 |                                  |                                           |                                                                                        |                                                                                                                |
| Subscription price                                       |                                 |                                                 |                                  |                                           |                                                                                        |                                                                                                                |
| EGM approval date<br>(if applicable)<br>(dd/mm/yyyy)     |                                 | ( / / )                                         |                                  |                                           |                                                                                        |                                                                                                                |
| Total B.                                                 |                                 |                                                 |                                  | (Ordinary shares) N/A                     |                                                                                        |                                                                                                                |
|                                                          |                                 |                                                 |                                  | (Preference shares) N/A                   |                                                                                        |                                                                                                                |
|                                                          |                                 |                                                 |                                  | (Other class) N/A                         |                                                                                        |                                                                                                                |

## Convertibles (i.e. Convertible into Shares of the Issuer which are to be Listed)

| Class and description                          | Currency of amount outstanding | Amount at close of preceding month | Converted during the month     | Amount at close of the month | No. of new shares of issuer issued during the month pursuant thereto | No. of new shares of issuer which may be issued pursuant thereto as at close of the month |
|------------------------------------------------|--------------------------------|------------------------------------|--------------------------------|------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------------------------------|
| 1. N/A                                         |                                |                                    |                                |                              |                                                                      |                                                                                           |
|                                                |                                |                                    |                                |                              |                                                                      |                                                                                           |
|                                                |                                |                                    |                                |                              |                                                                      |                                                                                           |
| Stock code (if listed)                         |                                |                                    |                                |                              |                                                                      |                                                                                           |
| Class of shares issuable ( <i>Note 1</i> )     |                                |                                    |                                |                              |                                                                      |                                                                                           |
| Subscription price                             |                                |                                    |                                |                              |                                                                      |                                                                                           |
| EGM approval date (if applicable) (dd/mm/yyyy) | ( / / )                        |                                    |                                |                              |                                                                      |                                                                                           |
| 2. N/A                                         |                                |                                    |                                |                              |                                                                      |                                                                                           |
|                                                |                                |                                    |                                |                              |                                                                      |                                                                                           |
|                                                |                                |                                    |                                |                              |                                                                      |                                                                                           |
| Stock code (if listed)                         |                                |                                    |                                |                              |                                                                      |                                                                                           |
| Class of shares issuable ( <i>Note 1</i> )     |                                |                                    |                                |                              |                                                                      |                                                                                           |
| Subscription price                             |                                |                                    |                                |                              |                                                                      |                                                                                           |
| EGM approval date (if applicable) (dd/mm/yyyy) | ( / / )                        |                                    |                                |                              |                                                                      |                                                                                           |
| 3. N/A                                         |                                |                                    |                                |                              |                                                                      |                                                                                           |
|                                                |                                |                                    |                                |                              |                                                                      |                                                                                           |
|                                                |                                |                                    |                                |                              |                                                                      |                                                                                           |
| Stock code (if listed)                         |                                |                                    |                                |                              |                                                                      |                                                                                           |
| Class of shares issuable ( <i>Note 1</i> )     |                                |                                    |                                |                              |                                                                      |                                                                                           |
| Subscription price                             |                                |                                    |                                |                              |                                                                      |                                                                                           |
| EGM approval date (if applicable) (dd/mm/yyyy) | ( / / )                        |                                    |                                |                              |                                                                      |                                                                                           |
| 4. N/A                                         |                                |                                    |                                |                              |                                                                      |                                                                                           |
|                                                |                                |                                    |                                |                              |                                                                      |                                                                                           |
|                                                |                                |                                    |                                |                              |                                                                      |                                                                                           |
| Stock code (if listed)                         |                                |                                    |                                |                              |                                                                      |                                                                                           |
| Class of shares issuable ( <i>Note 1</i> )     |                                |                                    |                                |                              |                                                                      |                                                                                           |
| Subscription price                             |                                |                                    |                                |                              |                                                                      |                                                                                           |
| EGM approval date (if applicable) (dd/mm/yyyy) | ( / / )                        |                                    |                                |                              |                                                                      |                                                                                           |
|                                                |                                |                                    | Total C. (Ordinary shares) N/A |                              |                                                                      |                                                                                           |
|                                                |                                |                                    | (Preference shares) N/A        |                              |                                                                      |                                                                                           |
|                                                |                                |                                    | (Other class) N/A              |                              |                                                                      |                                                                                           |

Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

| Full particulars including EGM approval date (dd/mm/yyyy), if applicable, and class of shares issuable: | No. of new shares of issuer issued during the month pursuant thereto | No. of new shares of issuer which may be issued pursuant thereto as at close of the month |
|---------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------------------------------|
| 1. N/A<br><br><br><br>( / / )<br>shares (Note 1)                                                        |                                                                      |                                                                                           |
| 2. N/A<br><br><br><br>( / / )<br>shares (Note 1)                                                        |                                                                      |                                                                                           |
| 3. N/A<br><br><br><br>( / / )<br>shares (Note 1)                                                        |                                                                      |                                                                                           |
| Total D. (Ordinary shares)<br>(Preference shares)<br>(Other class)                                      | N/A<br>N/A<br>N/A                                                    |                                                                                           |

## Other Movements in Issued Share Capital

| Type of Issue |              |            |                |            |                                                                                                                                                 | No. of new shares of issuer issued during the month pursuant thereto | No. of new shares of issuer which may be issued pursuant thereto as at close of the month |
|---------------|--------------|------------|----------------|------------|-------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------------------------------|
| 1.            | Rights issue | At price : | State currency | <u>N/A</u> | Class of shares issuable _____<br><i>(Note 1)</i><br>Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date: (dd/mm/yyyy) ( / / ) | _____                                                                | _____                                                                                     |
| 2.            | Open offer   | At price : | State currency | <u>N/A</u> | Class of shares issuable _____<br><i>(Note 1)</i><br>Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date: (dd/mm/yyyy) ( / / ) | _____                                                                | _____                                                                                     |
| 3.            | Placing      | At price : | State currency | <u>N/A</u> | Class of shares issuable _____<br><i>(Note 1)</i><br>Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date: (dd/mm/yyyy) ( / / ) | _____                                                                | _____                                                                                     |
| 4.            | Bonus issue  |            |                | <u>N/A</u> | Class of shares issuable _____<br><i>(Note 1)</i><br>Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date: (dd/mm/yyyy) ( / / ) | _____                                                                | _____                                                                                     |

## For Main Board and GEM listed issuers

|               |                      |                           |            | No. of new shares of issuer issued during the month pursuant thereto                                                            | No. of new shares of issuer which may be issued pursuant thereto as at close of the month |  |
|---------------|----------------------|---------------------------|------------|---------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------|--|
| Type of Issue |                      |                           |            |                                                                                                                                 |                                                                                           |  |
| 5.            | Scrip dividend       | At price : State currency | <u>N/A</u> | Class of shares issuable _____<br><i>(Note 1)</i><br>Issue and allotment date : (dd/mm/yyyy)<br>AGM approval date: (dd/mm/yyyy) | ( / / )                                                                                   |  |
| 6.            | Repurchase of shares |                           | <u>N/A</u> | Class of shares repurchased _____<br><i>(Note 1)</i><br>Cancellation date : (dd/mm/yyyy)<br>EGM approval date: (dd/mm/yyyy)     | ( / / )                                                                                   |  |
| 7.            | Redemption of shares |                           | <u>N/A</u> | Class of shares redeemed _____<br><i>(Note 1)</i><br>Redemption date : (dd/mm/yyyy)<br>EGM approval date: (dd/mm/yyyy)          | ( / / )                                                                                   |  |
| 8.            | Consideration issue  | At price : State currency | <u>N/A</u> | Class of shares issuable _____<br><i>(Note 1)</i><br>Issue and allotment date : (dd/mm/yyyy)<br>EGM approval date: (dd/mm/yyyy) | ( / / )                                                                                   |  |

For Main Board and GEM listed issuers

|                            |                           |     |                                         | No. of new shares of issuer issued during the month pursuant thereto | No. of new shares of issuer which may be issued pursuant thereto as at close of the month |
|----------------------------|---------------------------|-----|-----------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------------------------------|
| Type of Issue              |                           |     |                                         |                                                                      |                                                                                           |
| 9. Capital reorganisation  |                           | N/A | Class of shares issuable (Note 1)       | _____                                                                |                                                                                           |
|                            |                           |     | Issue and allotment date : (dd/mm/yyyy) | ( / / )                                                              |                                                                                           |
|                            |                           |     | EGM approval date: (dd/mm/yyyy)         | ( / / )                                                              |                                                                                           |
| 10. Other (Please specify) | At price : State currency | N/A | Class of shares issuable (Note 1)       | _____                                                                |                                                                                           |
|                            |                           |     | Issue and allotment date : (dd/mm/yyyy) | ( / / )                                                              |                                                                                           |
|                            |                           |     | EGM approval date: (dd/mm/yyyy)         | ( / / )                                                              |                                                                                           |
| Total E.                   |                           |     |                                         | (Ordinary shares) N/A                                                |                                                                                           |
|                            |                           |     |                                         | (Preference shares) N/A                                              |                                                                                           |
|                            |                           |     |                                         | (Other class) N/A                                                    |                                                                                           |

|                                                                                                                  |     |         |
|------------------------------------------------------------------------------------------------------------------|-----|---------|
| Total increase / (decrease) in ordinary shares during the month (i.e. Total of A to E):                          | (1) | 102,251 |
|                                                                                                                  | (2) | N/A     |
| Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):                        |     | N/A     |
| Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):                  |     | N/A     |
| (These figures should be the same as the relevant figures under II above ("Movements in Issued Share Capital").) |     |         |

Remarks (if any):

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Submitted by: Wang Wei Min

Title: Assistant Company Secretary  
(Director, Secretary or other duly authorised officer)

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*Notes :*

1. *State the class of shares (e.g. ordinary, preference or other).*
2. *If there is insufficient space, please append the prescribed continuation sheet.*