

Monthly Return of Equity Issuer on Movements in Securities

| For the month ended (dd/mm/y | yyyy): <u>31/05/2016</u> | | |
|----------------------------------|--------------------------|----------------------------------|---|
| To: Hong Kong Exchanges and | Clearing Limited | | |
| Name of Issuer S | Standard Chartered PLC | | |
| Date Submitted 6 | 5 June 2016 | | |
| I. Movements in Authorised Shar | re Capital | | |
| 1. Ordinary Shares | | | |
| (1) Stock code: N/A | Description : | | |
| | No. of ordinary shares | Par value (State currency) | Authorised share capital (State currency) |
| Balance at close of preceding mo | onth | | |
| Increase/(decrease) | | - | |
| Balance at close of the month | | | |
| (2) Stock code : N/A | Description : | | |
| | No. of ordinary shares | Par value (State currency) | Authorised share capital (State currency) |
| Balance at close of preceding mo | onth | | |
| Increase/(decrease) | - | | |
| Balance at close of the month | | | |

| ares | | | |
|-----------------|--|---|---|
| N/A | Description : | | |
| | No. of preference shares | Par value (State currency) | Authorised share capital (State currency) |
| of preceding mo | onth | | |
| se) | | _ | |
| of the month | | | |
| of Shares | | | |
| N/A | Description : | | |
| | No. of other classes of shares | Par value (State currency) | Authorised share capital (State currency) |
| of preceding mo | onth | | |
| se) | | _ | |
| of the month | | | |
| | of preceding mose) of the month of Shares N/A of preceding mose) | No. of preference shares of preceding month se) of the month of Shares N/A Description: No. of other classes of shares of preceding month se) | No. of preference shares No. of preference shares Par value (State currency) of preceding month of Shares N/A Description: No. of other classes of shares Par value (State currency) Par value (State currency) |

II. Movements in Issued Share Capital

| | No. of ordinar | ry shares | | | No. of other classes |
|--|----------------|-----------|----------------------|--------------------|----------------------|
| | (1) | (2) | No of pro | eference shares | of shares |
| Balance at close of preceding month | 3,282,188,147 | N/A | £1.00 195,285,000 | US\$5.00 15,000 | N/A |
| Increase/ (decrease) during the month | 465,786 | | <u>N/A</u> | N/A | |
| Balance at close of the month | 3,282,653,933 | | 195,285,000 | 15,000 | |

III. Details of Movements in Issued Share Capital

Share Options (under Share Option Schemes of the Issuer)

| Particulars of share option scheme including EGM approval date | | | | | No. of new shares of issuer issued during the month pursuant thereto | of issuer which may |
|---|-----------------|--------------------|---------------------|---|---|---------------------|
| (dd/mm/yyyy) and class of — | | Movement duri | _ | Т Л | - | the month |
| shares issuable 1. 2006 Restricted Share | Granted | Exercised | Cancelled | Lapsed | | |
| Crdinary shares (Note 1) | Nil | 5,746 | Nil | 95 | 5,746 | 892,839 |
| 2. 2001 Performance Share Plan (/ /) Ordinary shares (Note 1) | Nil | Nil | Nil | Nil | Nil | 88,095 |
| 3. 2011 Discretionary Share Plan – Performance Share Award (/ /) Ordinary shares (Note 1) | Nil | 9,087 | Nil | 7,599 | 9,087 | 5,099,651 |
| 4. 2011 Discretionary Share Plan – Restricted Share Award (/ /) Ordinary shares (Note 1) | 600,413 | 450,953 | Nil | 115,263 | 450,953 | 26,195,816 |
| 5. 2011 Discretionary Share Plan – Management Long Term Incentive Plan (/ /) Ordinary shares (Note 1) | 224,820 | Nil | Nil | 42,069 | Nil | 23,620,719 |
| 6. 2011 Discretionary Share Plan – Executive Long Term Incentive Plan (/ /) Ordinary shares (Note 1) | 1,585,615 | Nil | Nil | Nil | Nil | 1,585,615 |
| 7. 2004 International Sharesave Schemes (/ /) Ordinary shares (Note 1) | Nil | Nil | Nil | 110,767 | Nil | 1,679,775 |
| 8. 2004 UK Sharesave Plan (/ /) Ordinary shares (Note 1) | Nil | Nil | Nil | 10,828 | Nil | 40,246 |
| 9. Standard Chartered 2013 Sharesave Plan (/ /) Ordinary shares (Note 1) | Nil | Nil | Nil | 255,513 | Nil | 10,872,075 |
| | | | | linary shares) erence shares) (Other class) | N/A | |
| Total funds raised during the mocurrency) | onth from exerc | cise of options (S | State <u>Nil</u> | | | |

| Description of warrants (Date of expiry - dd/mm/yyyy) | Currency of Nominal value at nominal close of value preceding month | Exercised during the month | Nominal value at close of the month | No. of new shares of issuer issued during the month pursuant thereto | No. of new shares of issuer which may be issued pursuant thereto as at close of the month |
|--|---|----------------------------|---|--|--|
| 1. N/A | | | | | |
| (/ /) Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 2. N/A | (/ /) | | | | |
| Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 3. N/A | (/ /) | | | | |
| (/ /) Stock code (if listed) Class of shares issuable (Note 1) Subscription price | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) 4. N/A | (/ /) | | | | |
| (/ /) Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) | | | | | |
| (dd/mm/yyyy) | (/ /) Total | (Prefere | nary shares) <u>N/A</u> ence shares) <u>N/A</u> Other class) <u>N/A</u> | L | |

Convertibles (i.e. Convertible into Shares of the Issuer which are to be Listed)

| Clas | s and description | Currency of amount outstanding | Amount at close of preceding month | Converted during the month | Amount at close of the month | No. of new shares of issuer issued during the month pursuant thereto | No. of new shares of issuer which may be issued pursuant thereto as at close of the month |
|------|--|--------------------------------|------------------------------------|----------------------------|--|--|--|
| 1. | N/A | | | | | | |
| | | <u> </u> | | | | | |
| | Charle and a (if listed) | | | | | | |
| | Stock code (if listed) Class of shares issuable (Note 1) | | | | | | |
| | Subscription price | | | | | | |
| | EGM approval date (if applicable) | | | | | | |
| | (dd/mm/yyyy)) | (/ /) |) | | | | |
| 2. | N/A | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | Stock code (if listed) Class of shares | | | | | | |
| | issuable (Note 1) | | | | | | |
| | Subscription price | | | | | | |
| | EGM approval date (if applicable) | | | | | | |
| | (dd/mm/yyyy) | (/ /) |) | | | | |
| 3. | N/A | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | Stock code (if listed) | | | | | | |
| | Class of shares issuable (Note 1) | | | | | | |
| | Subscription price | | | | | | |
| | EGM approval date (if applicable) | | | | | | |
| | (dd/mm/yyyy) | (/ /) |) | | | | |
| 4. | N/A | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | Stock code (if listed) | | | | | | |
| | Class of shares issuable (Note 1) | | | | | | |
| | Subscription price | | | | | | |
| | EGM approval date (if applicable) | | | | | | |
| | (dd/mm/yyyy) | (/ /) | | | | | |
| | | | | | nary shares) $\frac{N/A}{N/A}$ | | - |
| | | | | | ence shares) $\frac{N/A}{N}$ Other class) $\frac{N}{A}$ | | - |
| | | | | (| , <u>", ", ", ", ", ", ", ", ", ", ", ", ", "</u> | | = |

For Main Board and GEM listed issuers
Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

| Full particulars including EGM approval date (dd/mm/yyyy), if applicable, and class of shares issuable: | No. of new shares of issuer issued during the month pursuant thereto | No. of new shares of issuer which may be issued pursuant thereto as at close of the month |
|---|--|---|
| 1. <u>N/A</u> | | |
| | | |
| (/ /) | • | |
| shares (Note 1) | | |
| 2 NI/A | | |
| 2. <u>N/A</u> | - | |
| | | |
| (/ /) | | |
| shares (Note 1) | | |
| 3. N/A | | |
| | - | |
| | | |
| (/ /) shares (Note 1) | - | |
| Shares (Note 1) | | |
| Total D. (Ordinary shares) | N/A | |
| (Preference shares) | N/A | |
| (Other class) | N/A | |
| | | |

| Class of shares issuable (Note 1) 1. Rights issue At price: State currency N/A | | Type of Issue | | | | | | | No. of new shares of issuer issued during the month pursuant thereto | No. of new shares of issuer which may be issued pursuant thereto as at close of the month |
|--|----|---------------|------------|----------------|-----|---------------------------------|-------|---|---|---|
| 1. Rights issue At price : State N/A Issue and allotment date : (dd/mm/yyyy) (/ / | | Type of Issue | | | | Class of the continue in | | | | |
| EGM approval date: (dd/mm/yyyy) Class of shares issuable | | | | | | | | | | |
| Class of shares issuable (Note I) | 1. | Rights issue | At price : | State currency | N/A | | (/ / |) | | |
| 2. Open offer At price: State currency State currency | | | | | | EGM approval date: (dd/mm/yyyy) | (/ / |) | | |
| 2. Open offer At price: State currency State currency | | | | | | | | | | |
| Class of shares issuable | | | | | | | | | | |
| Class of shares issuable | 2. | Open offer | At price : | State currency | N/A | | (/ / |) | | |
| (Note 1) 3. Placing At price : State currency N/A Issue and allotment date : (dd/mm/yyyy) | | | | | | | (/ / |) | | |
| (Note 1) 3. Placing At price : State currency State currency Issue and allotment date : (dd/mm/yyyy) | | | | | | | | | | |
| Size and allotment | | | | | | | | _ | | |
| Class of shares issuable Class of shares issuable (Note 1) | 3. | Placing | At price : | State currency | N/A | | (/ / |) | | |
| 4. Bonus issue N/A Issue and allotment (/ /) date: (dd/mm/yyyy) EGM approval date: (/ /) | | | | | | | (/ / |) | | |
| 4. Bonus issue N/A Issue and allotment (/ /) date: (dd/mm/yyyy) EGM approval date: (/ /) | | | | | | | | | | |
| 4. Bonus issue N/A Issue that throther date: (dd/mm/yyyy) EGM approval date: (/ /) | | | | | | | | | | |
| Bott approval date: | 4. | Bonus issue | | | N/A | | (/ / |) | | |
| | | | | | | EGM approval date: (dd/mm/yyyy) | (/ / |) | | |
| | | | | | | | | | | |

For Main Board and GEM listed issuers

| | | | | 1 OI Mai | п В | Dard and GEM fisted is | |
|----|--|-----|---|----------|-----|--|---|
| | Type of Issue | | | | | No. of new shares of issuer issued during the month pursuant thereto | No. of new shares of issuer which may be issued pursuant thereto as at close of the month |
| | Type of issue | | | | | | |
| | | | Class of shares issuable (Note 1) | | | | |
| 5. | Scrip dividend At price : State currency | N/A | Issue and allotment date : (dd/mm/yyyy) | (/ / |) | | |
| | | | AGM approval date: (dd/mm/yyyy) | (/ / |) | | |
| | | | | | | | |
| | | | Class of shares repurchased (Note 1) | | _ | | |
| 6. | Repurchase of shares | N/A | Cancellation date : (dd/mm/yyyy) | (/ / |) | | |
| | | | EGM approval date: (dd/mm/yyyy) | (/ / |) | | |
| | | | | | | | |
| | | | Class of shares redeemed (Note 1) | | | | |
| 7. | Redemption of shares | N/A | Redemption date : (dd/mm/yyyy) | (/ / |) | | |
| | | | EGM approval date: (dd/mm/yyyy) | (/ / |) | | |
| | | | | | | | |
| | | | Class of shares issuable (Note 1) | | _ | | |
| 8. | Consideration At price : State currency | N/A | Issue and allotment date : (dd/mm/yyyy) | (/ / |) | | |
| | | | EGM approval date: (dd/mm/yyyy) | (/ / |) | | |
| | | | | | | - | |

For Main Board and GEM listed issuers

N/A

N/A

| | Type of Issue | | | | | | | No. of new shares of issuer issued during the month pursuant thereto | No. of new shares of issuer which may be issued pursuant thereto as at close of the month |
|-----|------------------------|---------------|-------------------|-------------|---|--------------------------------|-------|--|---|
| | | | | | Class of shares issuable (Note 1) | | | | |
| 9. | Capital reorganisation | | | N/A | Issue and allotment date : (dd/mm/yyyy) | (/ / |) | | |
| | | | | | EGM approval date: (dd/mm/yyyy) | (/ / |) | | |
| | | | | | | | | | |
| 10 | Other | | | | Class of shares issuable (Note 1) | | | | |
| 10. | (Please specify) | At price : | State currency | N/A | Issue and allotment date : (dd/mm/yyyy) | (/ / |) | | |
| | | | | | EGM approval date: (dd/mm/yyyy) | (/ / |) | | |
| | | | | | | Ordinary reference (Othe | share | | - - - |
| | | | | | | | | | |
| To | otal increase / | (decrease) in | n ordinar | y shares di | uring the month (i.e. Total of A | to E): | | (1) 465,786 (2) N/A | |
| | | | | | | | | (2) N/A | |

Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):

Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):

(These figures should be the same as the relevant figures under II above ("Movements in Issued Share Capital").)

| Remarks (if any): |
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| |
| Submitted by: Chan Fung Shan |
| Title: Assistant Company Secretary (Director, Secretary or other duly authorised officer) |
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| |

Notes:

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. If there is insufficient space, please append the prescribed continuation sheet.