

Monthly Return of Equity Issuer on Movements in Securities

For the month ended (dd/mm/yyyy) :	31/03/2019		
To : Hong Kong Exchanges	and Clearing Limited		
Name of Issuer Date Submitted	Standard Chartered PLC 4 April 2019		
I. Movements in Authorised	Share Capital		
1. Ordinary Shares			
(1) Stock code : N/A	Description :		
	No. of ordinary shares	Par value (State currency)	capital
Balance at close of preceding	ng month		
Increase/(decrease)			
Balance at close of the mon	ith		
(2) Stock code : N/A	Description :		
	No. of ordinary shares	Par value (State currency)	capital
Balance at close of precedir	ng month		
Increase/(decrease)			
Balance at close of the mon	ith		

Stock code : N/A Descri	ription:		
	No. of preference shares	Par value (State currency)	capital
Balance at close of preceding mont	h		
Increase/(decrease)		_	
Balance at close of the month			
0.04.01.401			
3. Other Classes of Shares			
	intion :		
	intion :	Par value (State	Authorised share capital
	No. of other classes of shares	Par value (State currency)	Authorised share capital (State currency)
Stock code : <u>N/A</u> Descr	No. of other classes of shares	Par value (State currency)	Authorised share capital (State currency)

currency):

II. Movements in Issued Share Capital

	No. of ordinal	ry shares			No. of other
	(1)	(2)	No of prefe	rence shares	classes of shares
Balance at close of preceding month	3,309,595,727	N/A	£1.00 195,285,000	US\$5.00 15,000	N/A
Increase/ (decrease) during the month	584,594		N/A	N/A	
Balance at close of the month	3,310,180,321		195,285,000	15,000	

Share Options (under SI Particulars of share option scheme including EGM approval date (dd/mm/yyyy) and class of shares		Novement duri			No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
issuable	Granted	Exercised	Cancelled	Lapsed		month
1. 2006 Restricted Share						
Schemes						
(/ /)						
Ordinary shares (Note 1)	Nil	Nil	Nil	Nil	Nil Nil	Nil
2. 2001 Performance Share Plan						
(/ /)						
Ordinary shares (Note 1)	Nil	Nil	Nil	<u>Nil</u>	Nil	4,270
3. 2011 Discretionary Share Plan – Performance Share Award (/ /)						
Ordinary shares (Note 1)	Nil	4,115	Nil	Nil	526	39,126
4. 2011 Discretionary Share Plan – Restricted Share Award (/ /) Ordinary shares (Note 1)	14,533,875	8,403,802	Nil	161,301	140,471	32,326,986
Share Plan – Management Long Term Incentive Plan (/ /) Ordinary shares (Note 1)	1,637,033	4,184,836	<u>Nil</u>	1,770,413	Nil	19,178,036
6. 2011 Discretionary Share Plan – Executive Long Term Incentive Plan (/ /) Ordinary shares (Note 1)	1,126,187	Nil	<u>Nil</u>	619,839	Nil	3,970,188
7. Standard Chartered 2013 Sharesave Plan						
Ordinary shares	Nil	443,597	Nil	133,790	443,597	12,291,937
(Note 1)		Tota		ry shares) ce shares) her class)	584,594 N/A N/A	
Total funds raised during options (State currency)	the month fro	om exercise of	•	·		

Warrants to Issue Shares of the	e Issuer which	are to be Listed				
Description of warrants (Date of expiry - dd/mm/yyyy)	Currency of nominal value	Nominal value at close of preceding month	Exercised during the month	Nominal value at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. N/A						
(/ /) Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 2. N/A	(/ /)				
<u>Z. IV/A</u>						
				<u></u> .		
(/ /) Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy))				
3. N/A	(, ,	/	•			
						_
(/ /) Stock code (if listed) Class of shares						
Subscription price EGM approval date						
(if applicable)						
(dd/mm/yyyy) 4. N/A	(/ /)				
T. IVA						
				·		_
(/ /)						
Stock code (if listed) Class of shares						
issuable (Note 1)						
Subscription price EGM approval date						
(if applicable)						
(dd/mm/yyyy)	(/ /)				
		Total E	3. (Ordina	ary shares) <u>N/A</u>		
			(Preferen	ice shares) <u>N/A</u>		=
			(O	Other class) <u>N/A</u>		_

Convertibles (i.e. Convertible in	nto Shares of th	าe Issu	er which	are to be Liste	ed)		
Class and description	Currency of amount outstanding	clo: prec	ount at se of ceding onth	Converted during the month	Amount at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. US\$2 billion 6.5% Resetting							
Perpetual Subordinated							
Contingent Convertible							
Securities	US\$	US\$2	billion	Nil	US\$2 billion	Nil	184,077,312**
Stock code (if listed)	5890						
Class of shares							
issuable (Note 1)	Ordinary						
Subscription price	US\$10.865*						
EGM approval date							
(if applicable) (dd/mm/yyyy))	(08/05/2014)						
	(00/03/2014)						
2. US\$2 billion 7.5% Resetting							
Perpetual Subordinated							
Contingent Convertible Securities	US\$	LICEO	billion	Nil	US\$2 billion	Nil	250 665 207
Stock code (if listed)	4305	<u> </u>	DIIIIOII	INII	US\$2 DIIIIOH	INII	258,665,287
Class of shares	+303						
issuable (Note 1)	Ordinary						
Subscription price	US\$7.732*						
EGM approval date							
(if applicable)							
(dd/mm/yyyy)	(04/05/2016)						
3. US\$1 billion 7.75%							
Resetting Perpetual							
Subordinated Contingent							
Convertible Securities	US\$	US\$1	billion	Nil	US\$1 billion	Nil	129,332,643
Stock code (if listed)	5348						
Class of shares issuable (Note 1)	Ordinary						
Subscription price	US\$7.732*						
EGM approval date	ΟΟΨ1.102						
(if applicable)							
(dd/mm/yyyy)	(04/05/2016)						
4. N/A							
Stock code (if listed)							
Class of shares							
issuable (Note 1)							
Subscription price							
EGM approval date (if applicable)							
(dd/mm/yyyy)	(/ /)						
			To	otal C. (Ordina	ary shares) Nil		
					ce shares) N/A		-
					ther class) N/A		_

^{*} Conversion price of the security
** Adjusted post rights issue

Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

No. of new shares No. of new shares of of issuer issued issuer which may be during the month issued pursuant pursuant thereto thereto as at close of Full particulars including EGM approval date (dd/mm/yyyy), the month if applicable, and class of shares issuable:

1. N/A shares (Note 1) N/A 2. shares (Note 1) 3. N/A shares (Note 1) Total D. N/A (Ordinary shares) (Preference shares) N/A (Other class) N/A

Ot	her Movements	in Issued Sha	are Capital				No. of new shares of issuer issued during the month pursuant	No. of new shares of issuer which may be issued pursuant thereto as at
	Type of Issue						thereto	close of the month
				Class of shares issuable (Note 1)				
1.	Rights issue	At price :	State N/A currency	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)		
				Class of shares issuable (Note 1)				
2.	Open offer	At price :	State N/A currency	Issue and allotment date: (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)		
				Class of shares issuable (Note 1)				
3.	Placing	At price :	State N/A currency	Issue and allotment date: (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)		
				Class of shares issuable (Note 1)				
4.	Bonus issue		N/A	Issue and allotment date: (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)		

							No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the
	Type of Issue							month
				Class of shares issuable (Note 1)				
5.	Scrip dividend	At price :	State currency N/A	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)		
								_
				Class of shares repurchased (Note 1)				
6.	Repurchase of shares		N/A	Cancellation date : (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)		
				Class of shares redeemed (Note 1)				
7.	Redemption of shares		N/A	Redemption date : (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)		
				Class of shares issuable (Note 1)				
8.	Consideration issue	At price :	State N/A currency	Issue and allotment date: (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)		

	Type of Issue					No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
			Class of shares				
			issuable (Note 1)				
9.	Capital reorganisation	N/A	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
							_
			Class of shares issuable (Note 1)				
10.	Other (Please specify) At price :	State N/A currency	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
			Total I	E. (C	Ordinar	/	
			(Prefe		shares) <u>N/A</u>	_
			(* 10.0		r class		- -
_							
To	otal increase / (decrease) in o	ordinary shares dur	ing the month (i.e. Total (of A to	E): (1) 584,59	4
'	nai morease / (deorease) in c	ordinary shares duri	ing the month (i.e. rotal t	oi A to	(2	/ <u>NI/A</u>	
To	otal increase / (decrease) in p	oreference shares o	luring the month (i.e. Tot	al of A		N/A	
To	tal increase / (decrease) in c		,		,	N/A	
	: hese figures should be the s apital").)	ame as the relevar	nt figures under II above	("Move	ments	in Issued Si	hare

IV. Confirmations

We hereby confirm to the best knowledge, information and belief that, in relation to each of the securities issued by the issuer during the month as set out in Part III which has not been previously disclosed in a return published under rule 13.25A, it has been duly authorized by the board of directors of the listed issuer and, insofar as applicable:

(Note 2)

- (i) all money due to the listed issuer in respect of the issue of securities has been received by it;
- (ii) all pre-conditions for listing imposed by the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited under "Qualifications of listing" have been fulfilled;
- (iii) all (if any) conditions contained in the formal letter granting listing of and permission to deal in the securities have been fulfilled;

(iv)	all the securities of each class are in all respects identical (Note 3);
(v)	all documents required by the Companies (Winding Up and Miscellaneous Provisions) Ordinance to be filed with the Registrar of Companies have been duly filed and that compliance has been made with other legal requirements;
(vi)	all the definitive documents of title have been delivered/are ready to be delivered/are being prepared and will be delivered in accordance with the terms of issue;
(vii)	completion has taken place of the purchase by the issuer of all property shown in the listing document to have been purchased or agreed to be purchased by it and the purchase consideration for all such property has been duly satisfied; and
(viii)	the trust deed/deed poll relating to the debenture, loan stock, notes or bonds has been completed and executed, and particulars thereof, if so required by law, have been filed with the Registrar of Companies.
Keman	ks (if any):
Submit	tted by:Chan Fung Shan
Title:	Assistant Company Secretary (Director, Secretary or other duly authorised officer)

Notes:

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. Items (i) to (viii) are suggested forms of confirmation which may be amended to meet individual cases. Where the issuer has already made the relevant confirmations in a return published under rule 13.25A in relation to the securities issued, no further confirmation is required to be made in this return.
- 3. "Identical" means in this context:
 - the securities are of the same nominal value with the same amount called up or paid up;
 - they are entitled to dividend/interest at the same rate and for the same period, so that at
 the next ensuing distribution, the dividend/interest payable per unit will amount to
 exactly the same sum (gross and net); and
 - they carry the same rights as to unrestricted transfer, attendance and voting at meetings and rank pari passu in all other respects.
- 4. If there is insufficient space, please append the prescribed continuation sheet.