

## Monthly Return of Equity Issuer on Movements in Securities

For the month ended (dd/m	m/yyyy):	31/07/2017		
To: Hong Kong Exchanges a	nd Clearin	g Limited		
Name of Issuer	Standar	d Chartered PLC		
Date Submitted	4 Augus	st 2017		
I. Movements in Authorised S	Share Capi	ital		
1. Ordinary Shares				
(1) Stock code: N/A	Descri	ption:		
		No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding	month			
Increase/(decrease)				
Balance at close of the month				
(2) Stock code : <u>N/A</u>	Descri	ption :		
		No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding	month			
Increase/(decrease)				
Balance at close of the month				

ares			
N/A	Description :		
	No. of preference shares	Par value (State currency)	Authorised share capital (State currency)
of preceding mo	onth		
se)		_	
of the month			
of Shares			
N/A	Description :		
	No. of other classes of shares	Par value (State currency)	Authorised share capital (State currency)
of preceding mo	onth		
se)		_	
of the month			
	of preceding mose)  of the month  of Shares  N/A  of preceding mose)	No. of preference shares  of preceding month  se)  of the month  of Shares  N/A Description:  No. of other classes of shares  of preceding month  se)	No. of preference shares  No. of preference shares  Par value (State currency)  of preceding month  of Shares  N/A Description:  No. of other classes of shares  Par value (State currency)  Par value (State currency)

## II. Movements in Issued Share Capital

	No. of ordinar	ry shares			No. of other classes
_	(1)	(2)	No of pro	eference shares	of shares
Balance at close of preceding month	3,291,441,676	N/A	£1.00 195,285,000	US\$5.00 15,000	N/A
Increase/ (decrease) during the month	181,426		<u>N/A</u>	N/A	
Balance at close of the month	3,291,623,102		195,285,000	15,000	

## III. Details of Movements in Issued Share Capital

Share Options (under Share Option Schemes of the Issuer)

Particulars of share option scheme including EGM approval date	puon seneme				No. of new shares of issuer which may be issued pursuant thereto as at close of	
(dd/mm/yyyy) and class of -		Movement dur	thereto	the month		
shares issuable 1. 2006 Restricted Share	Granted	Exercised	Cancelled	Lapsed		
Schemes ( / / ) Ordinary shares (Note 1)	Nil	8,131	Nil	Nil	8,131	324,884
2. 2001 Performance Share Plan ( / / ) Ordinary shares (Note 1)	Nil	25,860	Nil	Nil	25,860	24,781
3. 2011 Discretionary  Share Plan – Performance  Share Award  ( / / )  Ordinary shares  (Note 1)  4. 2011 Discretionary  Share Plan – Restricted	Nil	5,658	Nil	Nil	5,658	214,499
Share Award ( / / ) Ordinary shares (Note 1)	Nil	137,500	Nil	155,773	137,500	26,801,006
5. 2011 Discretionary Share Plan – Management Long Term Incentive Plan ( / / ) Ordinary shares (Note 1)	Nil	Nil	Nil	Nil	Nil	23,208,197
6. 2011 Discretionary Share Plan – Executive Long Term Incentive Plan  ( / / ) Ordinary shares  (Note 1)	Nil	Nil	Nil	Nil	Nil	2,545,320
7. 2004 International Sharesave Schemes ( / / ) Ordinary shares (Note 1)	Nil	Nil	Nil	Nil	Nil	Nil
8. 2004 UK Sharesave Plan  ( / / )  Ordinary shares  (Note 1)	Nil	Nil	Nil	Nil	Nil	Nil
9. Standard Chartered 2013 Sharesave Plan ( / / ) Ordinary shares (Note 1)	Nil	4,277	Nil	96,294	4,277	10,755,343
				linary shares) rence shares) (Other class)	N/A	
Total funds raised during the mocurrency)	onth from exer	cise of options (S	£23,855.40	0		

Description of warrants (Date of expiry - dd/mm/yyyy)	Currency of Nominal value at nominal close of value preceding month	Exercised during the month	Nominal value at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. N/A					
Stock code (if listed) Class of shares issuable (Note 1) Subscription price					
EGM approval date (if applicable)					
(dd/mm/yyyy) 2. N/A	( / / )				
2. IVA					
( / / ) Stock code (if listed) Class of shares issuable (Note 1)					
Subscription price EGM approval date (if applicable) (dd/mm/yyyy)  3. N/A	( / / )				
( / / ) Stock code (if listed) Class of shares issuable (Note 1)					
Subscription price EGM approval date					
(if applicable) (dd/mm/yyyy)	( / / )				
4. N/A	, , , , , , , , , , , , , , , , , , , ,				
( / / )			. <u></u>		
Stock code (if listed) Class of shares issuable (Note 1)					
Subscription price EGM approval date (if applicable)					
(dd/mm/yyyy)	( / / )				
	Total	(Prefere	nary shares) N/A ence shares) N/A	<b>\</b>	- -
		(	Other class) N/A	Δ	<u>-</u>

Convertibles (i.e. Convertible into Shares of the Issuer which are to be Listed)

Class and description	Currency of amount	Amount at close of preceding	Converted during the	Amount at close of the	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
Class and description	outstanding	month	month	month		
1. US\$2 billion 6.5% Resetting	<del>-</del>					
Perpetual Subordinated	_					
Contingent Convertible	_					
Securities	US\$	US\$2 billion	Nil	US\$2 billion	Nil	184,077,312**
Stock code (if listed)	5890					
Class of shares						
issuable (Note 1)	Ordinary					
Subscription price	US\$10.865*					
EGM approval date (if applicable) (dd/mm/yyyy))	(08/05/2014)					
2. US\$2 billion 7.5% Resetting						
Perpetual Subordinated	•					
Contingent Convertible						
Securities	US\$	US\$2 billion	Nil	US\$2 billion	Nil	258,665,287
Stock code (if listed)	4305					
Class of shares						
issuable (Note 1)	Ordinary					
Subscription price	US\$7.732*					
EGM approval date						
(if applicable)						
(dd/mm/yyyy)	(04/05/2016)					
3. US\$1 billion 7.75% Resetting	-					
Perpetual Subordinated	_					
Contingent Convertible	_					
Securities	US\$	US\$1 billion	Nil	US\$1 billion	Nil	129,332,643
Stock code (if listed)	5348			• ———		
Class of shares		<u> </u>				
issuable (Note 1)	Ordinary					
Subscription price	US\$7.732*					
EGM approval date						
(if applicable)	(04/05/2016)					
(dd/mm/yyyy)	(04/05/2016)					
4. N/A	-					
	<del>-</del>					
	-					
	<u>.</u>		·	<u> </u>		
Stock code (if listed)						
Class of shares						
issuable (Note 1)						
Subscription price						
EGM approval date						
(if applicable) (dd/mm/yyyy)	( / / )					
(dd/IIIII/yyyy)	( / / )		Total C (Ordi	inary shares) Nil		
				ence shares) N/A		=
				Other class) N/A		-
			(			-

<sup>\*</sup> Conversion price of the security
\*\* Adjusted post rights issue

For Main Board and GEM listed issuers
Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

Full particulars including EGM approval date (dd/mm/yyyy), if applicable, and class of shares issuable:	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. <u>N/A</u>	-	
( / / )	•	
shares (Note 1)		
2 NI/A		
2. <u>N/A</u>	-	
( / / )		
shares (Note 1)		
3. N/A		
	•	
( / / ) shares (Note 1)	-	
Shares (Note 1)		
Total D. (Ordinary shares)	N/A	
(Preference shares)	N/A	•
(Other class)	N/A	

Class of shares issuable (Note 1)  1. Rights issue At price: State currency N/A Issue and allotment date: (dd/mm/yyyy) ( / / )  EGM approval date: (dd/mm/yyyy) ( / / )  Class of shares issuable (// / )  Class of shares issuable (Note 1)  2. Open offer At price: State currency N/A Issue and allotment date: (dd/mm/yyyy)  EGM approval date: (dd/mm/yyyy)  EGM approval date: (/ / / )  Class of shares issuable (/ / / )		Type of Issue	s in Issued Share Cap	ntai				No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. Rights issue   At price : State currency   N/A   Issue and allotment   date : (dd/mm/yyyy)   ( / / )		Type of Issue			Class of shares issuable				
Class of shares issuable (Note 1)  Class of shares issuable (Note 1)							_		
Class of shares issuable   (Note I)	1.	Rights issue	At price : State currency	N/A		( / /	)		
2. Open offer At price: State currency      N/A					EGM approval date: (dd/mm/yyyy)	( / /	)		
2. Open offer At price: State currency    State currency									
2. Open offer At price: currency date: (dd/mm/yyyy)  EGM approval date: (dd/mm/yyyy)  Class of shares issuable (Note 1)  State currency N/A Issue and allotment (// )  EGM approval date: (dd/mm/yyyy)  EGM approval date: (// )  Class of shares issuable (// )  Class of shares issuable (// )  EGM approval date: (// )  Class of shares issuable (// )  EGM approval date: (// )  State (// )  EGM approval date: (// )  EGM approval date: (// )							_		
Class of shares issuable	2.	Open offer	At price : State currency	N/A		( / /	)		
(Note 1)   3. Placing   At price : State currency   N/A   Issue and allotment date : (dd/mm/yyyy)					EGM approval date: (dd/mm/yyyy)	( / /	)		
(Note 1)   3. Placing   At price : State currency   N/A   Issue and allotment date : (dd/mm/yyyy)									
Class of shares issuable									
Class of shares issuable	3.	Placing	At price : State currency	N/A		( / /	)		
4. Bonus issue  N/A  Issue and allotment ( / / ) date: (dd/mm/yyyy)  EGM approval date: ( / / )						( / /	)		
4. Bonus issue  N/A  Issue and allotment ( / / ) date: (dd/mm/yyyy)  EGM approval date: ( / / )									
4. Bonus issue  TVA  date: (dd/mm/yyyy)  EGM approval date:							_		
Bow approvar auto:	4.	Bonus issue		N/A		( / /	)		
					EGM approval date: (dd/mm/yyyy)	( / /	)		

For Main Board and GEM listed issuers

				For Mai	n Bo	oard and GEM listed is	
	Type of Issue					No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	Type of issue						
			Class of shares issuable (Note 1)		_		
5.	Scrip dividend At price : State currency	N/A	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
			AGM approval date: (dd/mm/yyyy)	( / /	)		
			Class of shares repurchased (Note 1)				
6.	Repurchase of shares	N/A	Cancellation date : (dd/mm/yyyy)	( / /	)		
			EGM approval date: (dd/mm/yyyy)	( / /	)		
			Class of shares redeemed (Note 1)				
7.	Redemption of shares	N/A	Redemption date : (dd/mm/yyyy)	( / /	)		
			EGM approval date: (dd/mm/yyyy)	( / /	)		
			Class of shares issuable (Note 1)				
8.	Consideration At price : State currency	N/A	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
			EGM approval date: (dd/mm/yyyy)	( / /	)		
1							

For Main Board and GEM listed issuers

(2) N/A

N/A

N/A

	Two of Louis						No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	Type of Issue							uic monui
				Class of shares issuable (Note 1)		—		
9.	Capital reorganisation		N/A	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
				EGM approval date: (dd/mm/yyyy)	( / /	)		
				Class of shares issuable (Note 1)				
10.	Other (Please specify)	At price : State currency	N/A	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
				EGM approval date: (dd/mm/yyyy)	( / /	)		
				Total E. (O	Ordinary seference s (Other	shar	es) N/A es) N/A N/A N/A	- - -
To	ntal increase /	(decrease) in ordinar	v shares di	uring the month (i.e. Total of A t	o F):		(1) 181,426	

Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):

Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):

(These figures should be the same as the relevant figures under II above ("Movements in Issued Share Capital").)

Remarks (if any):
Submitted by: Chan Fung Shan
Title: Assistant Company Secretary (Director, Secretary or other duly authorised officer)
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## Notes:

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. If there is insufficient space, please append the prescribed continuation sheet.