



香港交易所

**Monthly Return of Equity Issuer on Movements in Securities****For the month ended (dd/mm/yyyy) :** 29/02/2016

To : Hong Kong Exchanges and Clearing Limited

Name of Issuer Standard Chartered PLCDate Submitted 4 March 2016**I. Movements in Authorised Share Capital****1. Ordinary Shares**(1) Stock code : N/A Description : \_\_\_\_\_

|                                     | No. of ordinary<br>shares | Par value<br>( <i>State<br/>currency</i> ) | Authorised share<br>capital<br>( <i>State currency</i> ) |
|-------------------------------------|---------------------------|--------------------------------------------|----------------------------------------------------------|
| Balance at close of preceding month | _____                     | _____                                      | _____                                                    |
| Increase/(decrease)                 | _____                     |                                            | _____                                                    |
| Balance at close of the month       | _____                     | _____                                      | _____                                                    |

(2) Stock code : N/A Description : \_\_\_\_\_

|                                     | No. of ordinary<br>shares | Par value<br>( <i>State<br/>currency</i> ) | Authorised share<br>capital<br>( <i>State currency</i> ) |
|-------------------------------------|---------------------------|--------------------------------------------|----------------------------------------------------------|
| Balance at close of preceding month | _____                     | _____                                      | _____                                                    |
| Increase/(decrease)                 | _____                     |                                            | _____                                                    |
| Balance at close of the month       | _____                     | _____                                      | _____                                                    |

## 2. Preference Shares

|                                     |                             |                                            |                                                          |  |
|-------------------------------------|-----------------------------|--------------------------------------------|----------------------------------------------------------|--|
| Stock code :                        | <u>N/A</u>                  | Description :                              | _____                                                    |  |
|                                     | No. of preference<br>shares | Par value<br>( <i>State<br/>currency</i> ) | Authorised share<br>capital<br>( <i>State currency</i> ) |  |
| Balance at close of preceding month | _____                       | _____                                      | _____                                                    |  |
| Increase/(decrease)                 | _____                       |                                            | _____                                                    |  |
| Balance at close of the month       | _____                       | _____                                      | _____                                                    |  |

## 3. Other Classes of Shares

|                                     |                                   |                                            |                                                          |  |
|-------------------------------------|-----------------------------------|--------------------------------------------|----------------------------------------------------------|--|
| Stock code :                        | <u>N/A</u>                        | Description :                              | _____                                                    |  |
|                                     | No. of other<br>classes of shares | Par value<br>( <i>State<br/>currency</i> ) | Authorised share<br>capital<br>( <i>State currency</i> ) |  |
| Balance at close of preceding month | _____                             | _____                                      | _____                                                    |  |
| Increase/(decrease)                 | _____                             |                                            | _____                                                    |  |
| Balance at close of the month       | _____                             | _____                                      | _____                                                    |  |

Total authorised share capital at the end of the month (*State  
currency*) :

\_\_\_\_\_

## II. Movements in Issued Share Capital

|                                       | No. of ordinary shares |            | No of preference shares      |                            | No. of other classes of shares |
|---------------------------------------|------------------------|------------|------------------------------|----------------------------|--------------------------------|
|                                       | (1)                    | (2)        |                              |                            |                                |
| Balance at close of preceding month   | <u>3,278,168,986</u>   | <u>N/A</u> | <u>£1.00<br/>195,285,000</u> | <u>US\$5.00<br/>15,000</u> | <u>N/A</u>                     |
| Increase/ (decrease) during the month | <u>162,052</u>         | <u></u>    | <u>N/A</u>                   | <u>N/A</u>                 | <u></u>                        |
| Balance at close of the month         | <u>3,278,331,038</u>   | <u></u>    | <u>195,285,000</u>           | <u>15,000</u>              | <u></u>                        |

## III. Details of Movements in Issued Share Capital

## Share Options (under Share Option Schemes of the Issuer)

| Particulars of share option scheme including EGM approval date (dd/mm/yyyy) and class of shares issuable | Movement during the month |           |           |         | No. of new shares of issuer issued during the month pursuant thereto | No. of new shares of issuer which may be issued pursuant thereto as at close of the month |
|----------------------------------------------------------------------------------------------------------|---------------------------|-----------|-----------|---------|----------------------------------------------------------------------|-------------------------------------------------------------------------------------------|
|                                                                                                          | Granted                   | Exercised | Cancelled | Lapsed  |                                                                      |                                                                                           |
| 1. 2006 Restricted Share Schemes<br>( / / )<br>Ordinary shares                                           | Nil                       | 33,401    | Nil       | 1,075   | 33,401                                                               | 1,115,437                                                                                 |
| (Note 1)                                                                                                 |                           |           |           |         |                                                                      |                                                                                           |
| 2. 2001 Performance Share Plan<br>( / / )<br>Ordinary shares                                             | Nil                       | Nil       | Nil       | Nil     | Nil                                                                  | 103,768                                                                                   |
| (Note 1)                                                                                                 |                           |           |           |         |                                                                      |                                                                                           |
| 3. 2011 Discretionary Share Plan – Performance Share Award<br>Ordinary shares                            | Nil                       | 19,391    | Nil       | 7,508   | 19,391                                                               | 9,626,119                                                                                 |
| (Note 1)                                                                                                 |                           |           |           |         |                                                                      |                                                                                           |
| 4. 2011 Discretionary Share Plan – Restricted Share Award<br>( / / )<br>Ordinary shares                  | Nil                       | 109,260   | Nil       | 210,842 | 109,260                                                              | 22,743,719                                                                                |
| (Note 1)                                                                                                 |                           |           |           |         |                                                                      |                                                                                           |
| 5. 2004 International Sharesave Schemes<br>( / / )<br>Ordinary shares                                    | Nil                       | Nil       | Nil       | 73,709  | Nil                                                                  | 1,958,238                                                                                 |
| (Note 1)                                                                                                 |                           |           |           |         |                                                                      |                                                                                           |
| 6. 2004 UK Sharesave Plan<br>( / / )<br>Ordinary shares                                                  | Nil                       | Nil       | Nil       | 11,597  | Nil                                                                  | 52,370                                                                                    |
| (Note 1)                                                                                                 |                           |           |           |         |                                                                      |                                                                                           |
| 7. Standard Chartered 2013 Sharesave Plan<br>( / / )<br>Ordinary shares                                  | Nil                       | Nil       | Nil       | 439,139 | Nil                                                                  | 12,295,972                                                                                |
| (Note 1)                                                                                                 |                           |           |           |         |                                                                      |                                                                                           |
| Total A. (Ordinary shares)                                                                               |                           |           |           |         | 162,052                                                              |                                                                                           |
| (Preference shares)                                                                                      |                           |           |           |         | N/A                                                                  |                                                                                           |
| (Other class)                                                                                            |                           |           |           |         | N/A                                                                  |                                                                                           |
| Total funds raised during the month from exercise of options (State currency)                            |                           |           |           |         | Nil                                                                  |                                                                                           |

## Warrants to Issue Shares of the Issuer which are to be Listed

| Warrants to issue shares of the issuer which are to be listed |                           |                                           |                            |                                     | No. of new shares of issuer issued during the month pursuant thereto | No. of new shares of issuer which may be issued pursuant thereto as at close of the month |
|---------------------------------------------------------------|---------------------------|-------------------------------------------|----------------------------|-------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------------------------------|
| Description of warrants<br>(Date of expiry - dd/mm/yyyy)      | Currency of nominal value | Nominal value at close of preceding month | Exercised during the month | Nominal value at close of the month |                                                                      |                                                                                           |
| 1. N/A                                                        |                           |                                           |                            |                                     |                                                                      |                                                                                           |
| ( / / )                                                       |                           |                                           |                            |                                     |                                                                      |                                                                                           |
| Stock code (if listed)                                        |                           |                                           |                            |                                     |                                                                      |                                                                                           |
| Class of shares issuable (Note 1)                             |                           |                                           |                            |                                     |                                                                      |                                                                                           |
| Subscription price                                            |                           |                                           |                            |                                     |                                                                      |                                                                                           |
| EGM approval date (if applicable) (dd/mm/yyyy)                |                           | ( / / )                                   |                            |                                     |                                                                      |                                                                                           |
| 2. N/A                                                        |                           |                                           |                            |                                     |                                                                      |                                                                                           |
| ( / / )                                                       |                           |                                           |                            |                                     |                                                                      |                                                                                           |
| Stock code (if listed)                                        |                           |                                           |                            |                                     |                                                                      |                                                                                           |
| Class of shares issuable (Note 1)                             |                           |                                           |                            |                                     |                                                                      |                                                                                           |
| Subscription price                                            |                           |                                           |                            |                                     |                                                                      |                                                                                           |
| EGM approval date (if applicable) (dd/mm/yyyy)                |                           | ( / / )                                   |                            |                                     |                                                                      |                                                                                           |
| 3. N/A                                                        |                           |                                           |                            |                                     |                                                                      |                                                                                           |
| ( / / )                                                       |                           |                                           |                            |                                     |                                                                      |                                                                                           |
| Stock code (if listed)                                        |                           |                                           |                            |                                     |                                                                      |                                                                                           |
| Class of shares issuable (Note 1)                             |                           |                                           |                            |                                     |                                                                      |                                                                                           |
| Subscription price                                            |                           |                                           |                            |                                     |                                                                      |                                                                                           |
| EGM approval date (if applicable) (dd/mm/yyyy)                |                           | ( / / )                                   |                            |                                     |                                                                      |                                                                                           |
| 4. N/A                                                        |                           |                                           |                            |                                     |                                                                      |                                                                                           |
| ( / / )                                                       |                           |                                           |                            |                                     |                                                                      |                                                                                           |
| Stock code (if listed)                                        |                           |                                           |                            |                                     |                                                                      |                                                                                           |
| Class of shares issuable (Note 1)                             |                           |                                           |                            |                                     |                                                                      |                                                                                           |
| Subscription price                                            |                           |                                           |                            |                                     |                                                                      |                                                                                           |
| EGM approval date (if applicable) (dd/mm/yyyy)                |                           | ( / / )                                   |                            |                                     |                                                                      |                                                                                           |
| Total B.                                                      |                           |                                           |                            |                                     | (Ordinary shares) N/A                                                |                                                                                           |
|                                                               |                           |                                           |                            |                                     | (Preference shares) N/A                                              |                                                                                           |
|                                                               |                           |                                           |                            |                                     | (Other class) N/A                                                    |                                                                                           |

## Convertibles (i.e. Convertible into Shares of the Issuer which are to be Listed)

| Class and description                          | Currency of amount outstanding | Amount at close of preceding month | Converted during the month     | Amount at close of the month | No. of new shares of issuer issued during the month pursuant thereto | No. of new shares of issuer which may be issued pursuant thereto as at close of the month |
|------------------------------------------------|--------------------------------|------------------------------------|--------------------------------|------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------------------------------|
| 1. N/A                                         |                                |                                    |                                |                              |                                                                      |                                                                                           |
|                                                |                                |                                    |                                |                              |                                                                      |                                                                                           |
|                                                |                                |                                    |                                |                              |                                                                      |                                                                                           |
| Stock code (if listed)                         |                                |                                    |                                |                              |                                                                      |                                                                                           |
| Class of shares issuable ( <i>Note 1</i> )     |                                |                                    |                                |                              |                                                                      |                                                                                           |
| Subscription price                             |                                |                                    |                                |                              |                                                                      |                                                                                           |
| EGM approval date (if applicable) (dd/mm/yyyy) | ( / / )                        |                                    |                                |                              |                                                                      |                                                                                           |
| 2. N/A                                         |                                |                                    |                                |                              |                                                                      |                                                                                           |
|                                                |                                |                                    |                                |                              |                                                                      |                                                                                           |
|                                                |                                |                                    |                                |                              |                                                                      |                                                                                           |
| Stock code (if listed)                         |                                |                                    |                                |                              |                                                                      |                                                                                           |
| Class of shares issuable ( <i>Note 1</i> )     |                                |                                    |                                |                              |                                                                      |                                                                                           |
| Subscription price                             |                                |                                    |                                |                              |                                                                      |                                                                                           |
| EGM approval date (if applicable) (dd/mm/yyyy) | ( / / )                        |                                    |                                |                              |                                                                      |                                                                                           |
| 3. N/A                                         |                                |                                    |                                |                              |                                                                      |                                                                                           |
|                                                |                                |                                    |                                |                              |                                                                      |                                                                                           |
|                                                |                                |                                    |                                |                              |                                                                      |                                                                                           |
| Stock code (if listed)                         |                                |                                    |                                |                              |                                                                      |                                                                                           |
| Class of shares issuable ( <i>Note 1</i> )     |                                |                                    |                                |                              |                                                                      |                                                                                           |
| Subscription price                             |                                |                                    |                                |                              |                                                                      |                                                                                           |
| EGM approval date (if applicable) (dd/mm/yyyy) | ( / / )                        |                                    |                                |                              |                                                                      |                                                                                           |
| 4. N/A                                         |                                |                                    |                                |                              |                                                                      |                                                                                           |
|                                                |                                |                                    |                                |                              |                                                                      |                                                                                           |
|                                                |                                |                                    |                                |                              |                                                                      |                                                                                           |
| Stock code (if listed)                         |                                |                                    |                                |                              |                                                                      |                                                                                           |
| Class of shares issuable ( <i>Note 1</i> )     |                                |                                    |                                |                              |                                                                      |                                                                                           |
| Subscription price                             |                                |                                    |                                |                              |                                                                      |                                                                                           |
| EGM approval date (if applicable) (dd/mm/yyyy) | ( / / )                        |                                    |                                |                              |                                                                      |                                                                                           |
|                                                |                                |                                    | Total C. (Ordinary shares) N/A |                              |                                                                      |                                                                                           |
|                                                |                                |                                    | (Preference shares) N/A        |                              |                                                                      |                                                                                           |
|                                                |                                |                                    | (Other class) N/A              |                              |                                                                      |                                                                                           |

Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

| Full particulars including EGM approval date (dd/mm/yyyy), if applicable, and class of shares issuable: |                 | No. of new shares of issuer issued during the month pursuant thereto | No. of new shares of issuer which may be issued pursuant thereto as at close of the month |
|---------------------------------------------------------------------------------------------------------|-----------------|----------------------------------------------------------------------|-------------------------------------------------------------------------------------------|
| 1. N/A                                                                                                  |                 |                                                                      |                                                                                           |
|                                                                                                         |                 |                                                                      |                                                                                           |
|                                                                                                         |                 |                                                                      |                                                                                           |
|                                                                                                         | ( / / )         |                                                                      |                                                                                           |
|                                                                                                         | shares (Note 1) |                                                                      |                                                                                           |
| 2. N/A                                                                                                  |                 |                                                                      |                                                                                           |
|                                                                                                         |                 |                                                                      |                                                                                           |
|                                                                                                         |                 |                                                                      |                                                                                           |
|                                                                                                         | ( / / )         |                                                                      |                                                                                           |
|                                                                                                         | shares (Note 1) |                                                                      |                                                                                           |
| 3. N/A                                                                                                  |                 |                                                                      |                                                                                           |
|                                                                                                         |                 |                                                                      |                                                                                           |
|                                                                                                         |                 |                                                                      |                                                                                           |
|                                                                                                         | ( / / )         |                                                                      |                                                                                           |
|                                                                                                         | shares (Note 1) |                                                                      |                                                                                           |
| Total D. (Ordinary shares)                                                                              |                 | N/A                                                                  |                                                                                           |
| (Preference shares)                                                                                     |                 | N/A                                                                  |                                                                                           |
| (Other class)                                                                                           |                 | N/A                                                                  |                                                                                           |

## Other Movements in Issued Share Capital

| Type of Issue |              |            |                |            |                                                                                                                                                 | No. of new shares of issuer issued during the month pursuant thereto | No. of new shares of issuer which may be issued pursuant thereto as at close of the month |
|---------------|--------------|------------|----------------|------------|-------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------------------------------|
| 1.            | Rights issue | At price : | State currency | <u>N/A</u> | Class of shares issuable _____<br><i>(Note 1)</i><br>Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date: (dd/mm/yyyy) ( / / ) | _____                                                                | _____                                                                                     |
| 2.            | Open offer   | At price : | State currency | <u>N/A</u> | Class of shares issuable _____<br><i>(Note 1)</i><br>Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date: (dd/mm/yyyy) ( / / ) | _____                                                                | _____                                                                                     |
| 3.            | Placing      | At price : | State currency | <u>N/A</u> | Class of shares issuable _____<br><i>(Note 1)</i><br>Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date: (dd/mm/yyyy) ( / / ) | _____                                                                | _____                                                                                     |
| 4.            | Bonus issue  |            |                | <u>N/A</u> | Class of shares issuable _____<br><i>(Note 1)</i><br>Issue and allotment date : (dd/mm/yyyy) ( / / )<br>EGM approval date: (dd/mm/yyyy) ( / / ) | _____                                                                | _____                                                                                     |

For Main Board and GEM listed issuers

|               |                      |                           |            | No. of new shares of issuer issued during the month pursuant thereto                                                            | No. of new shares of issuer which may be issued pursuant thereto as at close of the month |
|---------------|----------------------|---------------------------|------------|---------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------|
| Type of Issue |                      |                           |            |                                                                                                                                 |                                                                                           |
| 5.            | Scrip dividend       | At price : State currency | <u>N/A</u> | Class of shares issuable _____<br><i>(Note 1)</i><br>Issue and allotment date : (dd/mm/yyyy)<br>AGM approval date: (dd/mm/yyyy) | ( / / )<br>( / / )                                                                        |
| 6.            | Repurchase of shares |                           | <u>N/A</u> | Class of shares repurchased _____<br><i>(Note 1)</i><br>Cancellation date : (dd/mm/yyyy)<br>EGM approval date: (dd/mm/yyyy)     | ( / / )<br>( / / )                                                                        |
| 7.            | Redemption of shares |                           | <u>N/A</u> | Class of shares redeemed _____<br><i>(Note 1)</i><br>Redemption date : (dd/mm/yyyy)<br>EGM approval date: (dd/mm/yyyy)          | ( / / )<br>( / / )                                                                        |
| 8.            | Consideration issue  | At price : State currency | <u>N/A</u> | Class of shares issuable _____<br><i>(Note 1)</i><br>Issue and allotment date : (dd/mm/yyyy)<br>EGM approval date: (dd/mm/yyyy) | ( / / )<br>( / / )                                                                        |

For Main Board and GEM listed issuers

|                            |                           |     |                                         | No. of new shares of issuer issued during the month pursuant thereto | No. of new shares of issuer which may be issued pursuant thereto as at close of the month |
|----------------------------|---------------------------|-----|-----------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------------------------------|
| Type of Issue              |                           |     |                                         |                                                                      |                                                                                           |
| 9. Capital reorganisation  |                           | N/A | Class of shares issuable (Note 1)       | _____                                                                |                                                                                           |
|                            |                           |     | Issue and allotment date : (dd/mm/yyyy) | ( / / )                                                              |                                                                                           |
|                            |                           |     | EGM approval date: (dd/mm/yyyy)         | ( / / )                                                              |                                                                                           |
| 10. Other (Please specify) | At price : State currency | N/A | Class of shares issuable (Note 1)       | _____                                                                |                                                                                           |
|                            |                           |     | Issue and allotment date : (dd/mm/yyyy) | ( / / )                                                              |                                                                                           |
|                            |                           |     | EGM approval date: (dd/mm/yyyy)         | ( / / )                                                              |                                                                                           |
| Total E. (Ordinary shares) |                           |     |                                         | N/A                                                                  |                                                                                           |
| (Preference shares)        |                           |     |                                         | N/A                                                                  |                                                                                           |
| (Other class)              |                           |     |                                         | N/A                                                                  |                                                                                           |

|                                                                                                                  |     |         |
|------------------------------------------------------------------------------------------------------------------|-----|---------|
| Total increase / (decrease) in ordinary shares during the month (i.e. Total of A to E):                          | (1) | 162,052 |
|                                                                                                                  | (2) | N/A     |
| Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):                        |     | N/A     |
| Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):                  |     | N/A     |
| (These figures should be the same as the relevant figures under II above ("Movements in Issued Share Capital").) |     |         |

Remarks (if any):

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Submitted by: Chan Fung Shan

Title: Assistant Company Secretary  
(Director, Secretary or other duly authorised officer)

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*Notes :*

1. *State the class of shares (e.g. ordinary, preference or other).*
2. *If there is insufficient space, please append the prescribed continuation sheet.*