

### Monthly Return of Equity Issuer on Movements in Securities

For the month ended (dd/mm/yyyy): 31/01/2018

To : Hong Kong Exchanges and Clearing Limited

Name of Issuer Date Submitted Standard Chartered PLC 6 February 2018

I. Movements in Authorised Share Capital

1. Ordinary Shares			
(1) Stock code : <u>N/A</u> Descrip	otion :		
	No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month			
Increase/(decrease)			
Balance at close of the month			
(2) Stock code : <u>N/A</u> Descrip	otion :		
	No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month			
Increase/(decrease)			
Balance at close of the month			

# 2. Preference Shares

Stock code :	N/A	Descript	ion :		
			No. of preference shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close	of precedin	g month			
Increase/(decreas	se)	-			
Balance at close	of the mont	th _			

# 3. Other Classes of Shares

Stock code : <u>N/A</u> Descrip	otion :		
	No. of other classes of shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month			
Increase/(decrease)			
Balance at close of the month			

Total authorised share capital at the end of the month (*State currency*) :

# II. Movements in Issued Share Capital

	No. of ordinat	ry shares			No. of other classes
	(1)	(2)	No of pro	eference shares	of shares
Balance at close of preceding month	3,296,707,413	N/A	£1.00 195,285,000	US\$5.00 15,000	N/A
Increase/ (decrease) during the month	74,506		<u>N/A</u>	N/A	
Balance at close of the month	3,296,781,919		195,285,000	15,000	

# III. Details of Movements in Issued Share Capital

Share Options (under Share Option Schemes of the Issuer)

Share Options (under Share O Particulars of share option	puon benenie	.5 of the 155ter)			No. of new shares of	
scheme including EGM approval					issuer issued during the month pursuant	be issued pursuant
date		Movement dur	ing the month		thereto	thereto as at close of
(dd/mm/yyyy) and class of –	C ( 1			T 1	_	the month
shares issuable	Granted	Exercised	Cancelled	Lapsed		
1. 2006 Restricted Share Schemes						
Ordinary shares	Nil	7,091	Nil	6,307	7,091	172,545
(Note 1)						
2. 2001 Performance						
Share Plan						
( / / )						
Ordinary shares	Nil	Nil	Nil	Nil	Nil	17,222
(Note 1)						
3. 2011 Discretionary						
Share Plan – Performance						
Share Award						
( / / ) Ordinary shares	Nil	151	Nil	4,125	151	150,104
(Note 1)	11II	151		4,123	151	150,104
4. 2011 Discretionary						
Share Plan – Restricted Share Award						
Ordinary shares	Nil	55,538	Nil	294,966	55,538	22,960,717
(Note 1)	INII			294,900	55,558	22,900,717
5. 2011 Discretionary						
Share Plan – Management Long Term Incentive Plan						
Ordinary shares	Nil	Nil	Nil	138,534	Nil	22,639,134
(Note 1)						
6. 2011 Discretionary						
Share Plan – Executive						
Long Term Incentive Plan						
( / / )						
Ordinary shares	Nil	Nil	Nil	Nil	Nil	2,545,320
(Note 1)						
7. Standard Chartered						
2013 Sharesave Plan						
<u>( / / )</u>				1 - 0		
Ordinary shares	Nil	11,726	Nil	158,134	11,726	12,648,374
(Note 1)						
			Total A. (Ord			
			(Prefe	rence shares)		
				(Other class)	N/A	
				(Other class)	10/11	
Total funds raised during the mo	onth from exer	cise of options (S	State £64,905.3'		10/21	

Description of warrants (Date of expiry - dd/mm/yyyy)	Currency of Nominal value at nominal close of value preceding month	Exercised during the month	Nominal value at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. N/A	-				
	-				
( / / ) Stock code (if listed) Class of shares issuable (Note 1) Subscription price					
EGM approval date (if applicable)					
(dd/mm/yyyy) 2. N/A	( / / )				
2. 19/11	-				
( / / ) Stock code (if listed) Class of shares					
issuable (Note 1) Subscription price EGM approval date (if applicable)					
(dd/mm/yyyy) 3. N/A	( / / )				
	-				
( / / )					
Stock code (if listed) Class of shares issuable (Note 1)					
Subscription price					
(if applicable)					
(dd/mm/yyyy) 4. N/A	( / / )				
	-				
( / / )					
Stock code (if listed) Class of shares issuable ( <i>Note 1</i> )					
Subscription price					
EGM approval date (if applicable) (dd/mm/yyyy)	( / / )				
	Total	(Prefere	ary shares) <u>N/A</u> nce shares) <u>N/A</u> Other class) <u>N/A</u>		-

For Main Board and GEM listed issuers

Convertibles (i.e. Convertible into	Shares of the Is	suer which are to	be Listed)			
Class and description	Currency of amount outstanding	Amount at close of preceding month	Converted during the month	Amount at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. US\$2 billion 6.5% Resetting						
Perpetual Subordinated	-					
Contingent Convertible	-					
Securities	US\$	US\$2 billion	Nil	US\$2 billion	Nil	184,077,312**
Stock code (if listed)	5890					
Class of shares						
issuable (Note 1)	Ordinary					
Subscription price	US\$10.865*					
EGM approval date						
(if applicable)	(00/05/2014)					
(dd/mm/yyyy))	(08/05/2014)					
2. US\$2 billion 7.5% Resetting	-					
Perpetual Subordinated	<u>.</u>					
Contingent Convertible						
Securities	US\$	US\$2 billion	Nil	US\$2 billion	Nil	258,665,287
Stock code (if listed)	4305					
Class of shares						
issuable (Note 1)	Ordinary					
Subscription price	US\$7.732*					
EGM approval date (if applicable)						
(dd/mm/yyyy)	(04/05/2016)					
3. US\$1 billion 7.75% Resetting	· · · · · · · · · · · · · · · · · · ·					
Perpetual Subordinated	-					
Contingent Convertible	<del>.</del>					
Securities	- 1100		NI:1		NT:1	120 222 642
Stock code (if listed)	US\$ 5348	US\$1 billion	Nil	US\$1 billion	Nil	129,332,643
Class of shares	5548					
issuable ( <i>Note 1</i> )	Ordinary					
Subscription price	US\$7.732*					
EGM approval date (if applicable)						
(dd/mm/yyyy)	(04/05/2016)					
<u>4. N/A</u>						
	-					
	-					
~				·		
Stock code (if listed)						
Class of shares						
issuable (Note 1)						
Subscription price EGM approval date						
(if applicable)						
(dd/mm/yyyy)						
	( , , )		Total C (Ordi	nary shares) Nil		
				ence shares) $N/A$		
				Other class) $\overline{N/A}$		
				·		
<ul> <li>Conversion price of the security</li> <li>** Adjusted post rights issue</li> </ul>	,					

\*\* Adjusted post rights issue

For Main Board and GEM listed issuers Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

Full particulars including EGM approval date (dd/mm/yyyy), if applicable, and class of shares issuable:	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. <u>N/A</u>		
	-	
shares (Note 1)		
2. N/A		
3. <u>N/A</u>		
	-	
shares (Note 1)		
Total D. (Ordinary shares)	N/A	
(Preference shares)	N/A	
(Other class)	N/A	

	ner Movements Type of Issue	<u>s in issued</u>	Share Ca	<u>91141</u>				No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
					Class of shares issuable (Note 1)				
1.	Rights issue	At price :	State currency	N/A	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
					EGM approval date: (dd/mm/yyyy)	( / /	)		
					Class of shares issuable ( <i>Note 1</i> )				
2.	Open offer	At price :	State currency	N/A	( <i>Note 1</i> ) Issue and allotment date : (dd/mm/yyyy)	( / /	)		
					EGM approval date: (dd/mm/yyyy)	( / /	)		
					Class of shares issuable (Note 1)				
3.	Placing	At price :	State currency	N/A	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
					EGM approval date: (dd/mm/yyyy)	( / /	)		
					Class of shares issuable (Note 1)				
4.	Bonus issue			N/A	Issue and allotment date : (dd/mm/yyyy)	( / /			
					EGM approval date: (dd/mm/yyyy)	( / /	)		

5.	Scrip At price : State dividend At price : currency					thereto as at close of the month
	Scrip dividend At price : State currency		Class of shares issuable ( <i>Note 1</i> )			
		N/A	Issue and allotment date : (dd/mm/yyyy)	( / /	)	
			AGM approval date: (dd/mm/yyyy)	( / /	)	 
			Class of shares			 
6.	Repurchase	N/A	repurchased ( <i>Note 1</i> ) Cancellation date :	( / /	)	
	of shares		(dd/mm/yyyy) EGM approval date: (dd/mm/yyyy)	( / /	)	
			Class of shares redeemed (Note 1)			
7.	Redemption of shares	N/A	Redemption date : (dd/mm/yyyy)	( / /	)	
			EGM approval date: (dd/mm/yyyy)	( / /	)	
			Class of shares issuable (Note 1)			
8.	Consideration At price : State currency	N/A	Issue and allotment date : (dd/mm/yyyy)	( / /	)	
			EGM approval date: (dd/mm/yyyy)	( / /	)	

					For Mai	n Bo	oard and GEM listed is	
							No. of new shares of	
							issuer issued during	shares of
							the month pursuant	issuer
							thereto	which may
								be issued
								pursuant
								thereto as at close of
	<b>T</b> (1							the month
	Type of Issue							uic monui
				Class of shares issuable (Note 1)				
9.	Capital reorganisation		N/A	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
				EGM approval date: (dd/mm/yyyy)	( / /	)		
				Class of shares issuable				
10	Other			(Note 1)				
10.	(Please specify)	At price : State currency	<u>N/A</u>	- Issue and allotment date : (dd/mm/yyyy)	( / /	)		
				EGM approval date: (dd/mm/yyyy)	( / /	)		
				Total E. (	Ordinary s	hare	es) N/A	
					reference s			
				(			ss) N/A	
							^ <u></u>	

Total increase / (decrease) in ordinary shares during the month (i.e. Total of A to E):	(1)	74,506
	(2)	N/A
Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):		N/A
Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):		N/A
(These figures should be the same as the relevant figures under II above ("Movements in Issu	ied Sh	are Capital").)

٦

Submitted by: Chan Fung Shan

 Title:
 Assistant Company Secretary

 (Director, Secretary or other duly authorised officer)

#### Notes :

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. If there is insufficient space, please append the prescribed continuation sheet.