

Monthly Return of Equity Issuer on Movements in Securities

yyyy): <u>30/06/2018</u>		
Clearing Limited		
Standard Chartered PLC		
6 July 2018		
are Capital		
Description :		
No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
onth		
Description :		
No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
onth		
	Clearing Limited Standard Chartered PLC 6 July 2018 Are Capital Description: No. of ordinary shares onth Description: No. of ordinary shares	Clearing Limited Standard Chartered PLC 6 July 2018 Are Capital Description: No. of ordinary shares Par value (State currency) Onth Description: Par value (State currency) Par value (State currency)

Stock code:	N/A	Descript	tion .		
Stock code:	IN/A	Descripe	uon :		
			No. of preference shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close	of preceding n	nonth			
Increase/(decrea	ase)				
Balance at close	of the month	<u>-</u>			
3. Other Classes	of Shares				
3. Other Classes Stock code:	of Shares N/A	Descript	tion:		
		_Descript	No. of other classes of shares	Par value (State currency)	Authorised share capital (State currency)
	N/A		No. of other	(State	_
Stock code:	N/A of preceding n		No. of other	(State	capital

II. Movements in Issued Share Capital

	No. of ordinar	ry shares			No. of other classes
	(1)	(2)	No of pro	eference shares	of shares
Balance at close of preceding month	3,303,864,232	N/A	£1.00 195,285,000	US\$5.00 15,000	N/A
Increase/ (decrease) during the month	401,453		N/A	N/A	
Balance at close of the month	3,304,265,685		195,285,000	15,000	

III. Details of Movements in Issued Share Capital

Share Options (under Share O	ption Scheme	s of the Issuer)			N £ 1 £	No of non-shane
Particulars of share option scheme including EGM approval					No. of new shares of issuer issued during the month pursuant	of issuer which may
date		Movement duri	ng the month		thereto	thereto as at close of the month
(dd/mm/yyyy) and class of — shares issuable	Granted	Exercised	Cancelled	Lapsed	_	the month
1. 2006 Restricted Share				•		
Schemes (/ /)						
Ordinary shares	Nil	Nil	Nil	Nil	Nil	Nil
(Note 1)						
2. 2001 Performance Share Plan						
Ordinary shares	Nil	Nil	Nil	Nil	Nil	12,144
(Note 1)						
3. 2011 Discretionary Share Plan – Performance						
Share Award						
Ordinary shares	Nil	766	Nil	Nil	766	49,891
(Note 1)	1111					15,051
4. 2011 Discretionary						
Share Plan – Restricted Share Award						
(/ /)						
Ordinary shares	246,367	391,275	Nil	66,148	391,275	29,377,453
(Note 1)						
5. 2011 Discretionary						
Share Plan – Management Long Term Incentive Plan						
(/ /)						
Ordinary shares (Note 1)	Nil	Nil	Nil	Nil	Nil	23,870,254
6. 2011 Discretionary Share Plan – Executive						
Long Term Incentive Plan						
Ordinary shares	Nil	Nil	Nil	Nil	Nil	3,446,957
(Note 1)	· · · · · · · · · · · · · · · · · · ·					
7. Standard Chartered						
2013 Sharesave Plan						
Ordinary shares	Nil	9,412	Nil	1,222,252	9,412	10,828,727
(Note 1)						
			Total A. (Ord	inary shares)	401,453	
			(Prefe	rence shares)	N/A	
				(Other class)	N/A	
Total funds raised during the me currency)	onth from exer	cise of options (S	State £51,265.80)		
			231,203.00	, 		

Warrants to Issue Shares of the Iss	suer which are to be Listed				
Description of warrants (Date of expiry - dd/mm/yyyy)	Currency of Nominal value at nominal close of value preceding month	during the	Nominal value at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
<u>1. N/A</u>					
	· <u> </u>				
Stock code (if listed) Class of shares issuable (Note 1)					
Subscription price		-			
EGM approval date (if applicable) (dd/mm/yyyy)	(/ /)	-			
2. N/A					
(/ /)	<u> </u>				
Stock code (if listed)					
Class of shares issuable (Note 1)		-			
Subscription price		<u>-</u>			
EGM approval date					
(if applicable) (dd/mm/yyyy)	(/ /)				
3. N/A	(' ')	-			
21 2 0 2 2					
(/ /)					
Stock code (if listed)		-			
Class of shares issuable (<i>Note 1</i>)					
Subscription price		-			
EGM approval date		-			
(if applicable)					
	(/ /)	-			
4. N/A					
(/ /)	·				
Stock code (if listed)		_			
Class of shares					
issuable (Note 1)		=			
Subscription price EGM approval date		-			
(if applicable)					
(dd/mm/yyyy)	(/ /)	-			
	Tota	1D (O.d.	norry chorac) NI/A		
	1018		nary shares) <u>N/A</u> ence shares) N/A		-
			Other class) N/A		-
		(=	-

Convertibles (i.e. Convertible into Shares of the Issuer which are to be Listed) No. of new No. of new shares of issuer shares of which may be issuer issued during the issued pursuant month thereto as at Converted Currency of Amount at close Amount at pursuant close of the amount of preceding during the close of the thereto month Class and description outstanding month month month 1. US\$2 billion 6.5% Resetting Perpetual Subordinated Contingent Convertible Securities US\$ US\$2 billion Nil US\$2 billion Nil 184,077,312** Stock code (if listed) 5890 Class of shares issuable (Note 1) Ordinary Subscription price US\$10.865* EGM approval date (if applicable) (08/05/2014)(dd/mm/yyyy)) 2. US\$2 billion 7.5% Resetting Perpetual Subordinated Contingent Convertible Securities US\$ US\$2 billion Nil US\$2 billion Nil 258,665,287 Stock code (if listed) 4305 Class of shares issuable (Note 1) Ordinary Subscription price US\$7.732* EGM approval date (if applicable) (dd/mm/yyyy) (04/05/2016) 3. US\$1 billion 7.75% Resetting Perpetual Subordinated Contingent Convertible Securities US\$ Nil US\$1 billion US\$1 billion Nil 129,332,643 Stock code (if listed) 5348 Class of shares issuable (Note 1) Ordinary Subscription price US\$7.732* EGM approval date (if applicable) (dd/mm/yyyy) (04/05/2016) N/A Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) Total C. (Ordinary shares) Nil (Preference shares) $\overline{N/A}$ (Other class) N/A

^{*} Conversion price of the security

^{**} Adjusted post rights issue

For Main Board and GEM listed issuers Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

Full particulars including EGM approval date (dd/mm/yyyy), if applicable, and class of shares issuable:	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. N/A		
shares (Note 1)		
2. <u>N/A</u>		
(/ /) shares (Note 1)		
3. <u>N/A</u>		
shares (Note 1)		
Total D. (Ordinary shares)	N/A	
(Preference shares) (Other class)	N/A N/A	
(Outer class)	1 1/ / 1	

	her Movement							No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	Type of Issue								the monui
					Class of shares issuable (Note 1)		_		
1.	Rights issue	At price :	State currency	N/A	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
					EGM approval date: (dd/mm/yyyy)	(/ /)		
					Class of shares issuable (Note 1)		_		
2.	Open offer	At price :	State currency	N/A	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
					EGM approval date: (dd/mm/yyyy)	(/ /)		
					Class of shares issuable (Note 1)		_		
3.	Placing	At price :	State currency	N/A	Issue and allotment date: (dd/mm/yyyy)	(/ /)		
					EGM approval date: (dd/mm/yyyy)	(/ /)		
					Class of shares issuable (Note 1)		_		
4.	Bonus issue			N/A	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
					EGM approval date: (dd/mm/yyyy)	(/ /)		

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				For Mai	ın Bo	pard and GEM listed is	
	Type of Issue					No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	Type of Issue						uic monui
			Class of shares issuable (Note 1)				
5.	Scrip dividend At price : State currency	N/A	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			AGM approval date: (dd/mm/yyyy)	(/ /)		
			Class of shares repurchased (Note 1)				
6.	Repurchase of shares	N/A	Cancellation date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
			Class of shares redeemed (Note 1)				
7.	Redemption of shares	N/A	Redemption date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
			Class of shares issuable (Note 1)				
8.	Consideration At price : State currency	N/A	Issue and allotment date: (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
1							

For Main Board and GEM listed issuers

No. of new shares of of new share						roi Mai	пъс	No of some shape of	
be issued pursuant thereto as at close of the month Class of shares issuable (Note 1) 9. Capital reorganisation N/A Issue and allotment (// /) EGM approval date: (dd/mm/yyyy) EGM approval date: (// /) (Mote 1) Class of shares issuable (// /) EGM approval date: (// /) Issue and allotment (// /) EGM approval date: (// /)								issuer issued during the month pursuant	shares of issuer
Type of Issue Class of shares issuable (Note 1) Class of shares issuable (Interpretation of the month of th								thereto	be issued
Class of shares issuable (Note 1) 9. Capital reorganisation N/A Issue and allotment (/ /) EGM approval date: (dd/mm/yyyy) EGM approval date: (/ /) (dd/mm/yyyy) Class of shares issuable (/ /) EGM approval date: (/ /) Issue and allotment (/ /) EGM approval date: (/ /) Issue and allotment (/ /) EGM approval date: (/ /)									thereto as at close of
9. Capital reorganisation N/A Issue and allotment (/ /) date: (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy) Class of shares issuable (Note I) Class of shares issuable (Note I) Issue and allotment (/ /) date: (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy) FGM approval date: (dd/mm/yyyy) Total E. (Ordinary shares) N/A (Preference shares) N/A		Type of Issue							the month
reorganisation Class of shares issuable									
Class of shares issuable	9.	Capital reorganisation		N/A		(/ /)		
10. Other (Please specify) At price: State currency						(/ /)		
10. Other (Please specify) At price: State currency									
(Please specify) At price: State currency Issue and allotment (/ /) date: (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy) Total E. (Ordinary shares) N/A (Preference shares) N/A	10	Other							
Total E. (Ordinary shares) N/A (Preference shares) N/A	10.	(Please		N/A		(/ /)		
(Preference shares) N/A						(/ /)		
(Preference shares) N/A									
(Preference shares) N/A					Total E. (Ordinary s	hare	es) N/A	_
					(Pr	reference s	hare	es) N/A	· ·

Total increase / (decrease) in ordinary shares during the month (i.e. Total of A to E):	(1)	401,453
	(2)	N/A
Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):		N/A
Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):		N/A
(These figures should be the same as the relevant figures under II above ("Movements in Issa	ued Sh	are Capital").)

Remarks (if any):
Submitted by: Chan Fung Shan
Title: Assistant Company Secretary (Director, Secretary or other duly authorized officer)
(Director, Secretary or other duly authorised officer)

Notes:

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. If there is insufficient space, please append the prescribed continuation sheet.